

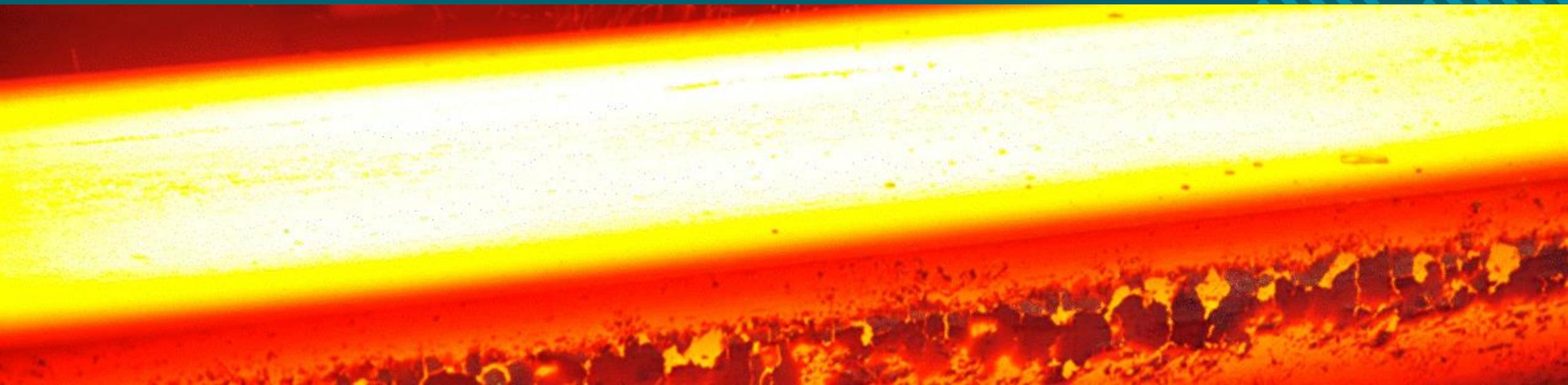
Workshop “Metallurgy for Forging Process Design and Tool Life Improvement and XRD Forum”



2020 January 29<sup>th</sup>: TGGS (KMUTNB), Bangkok

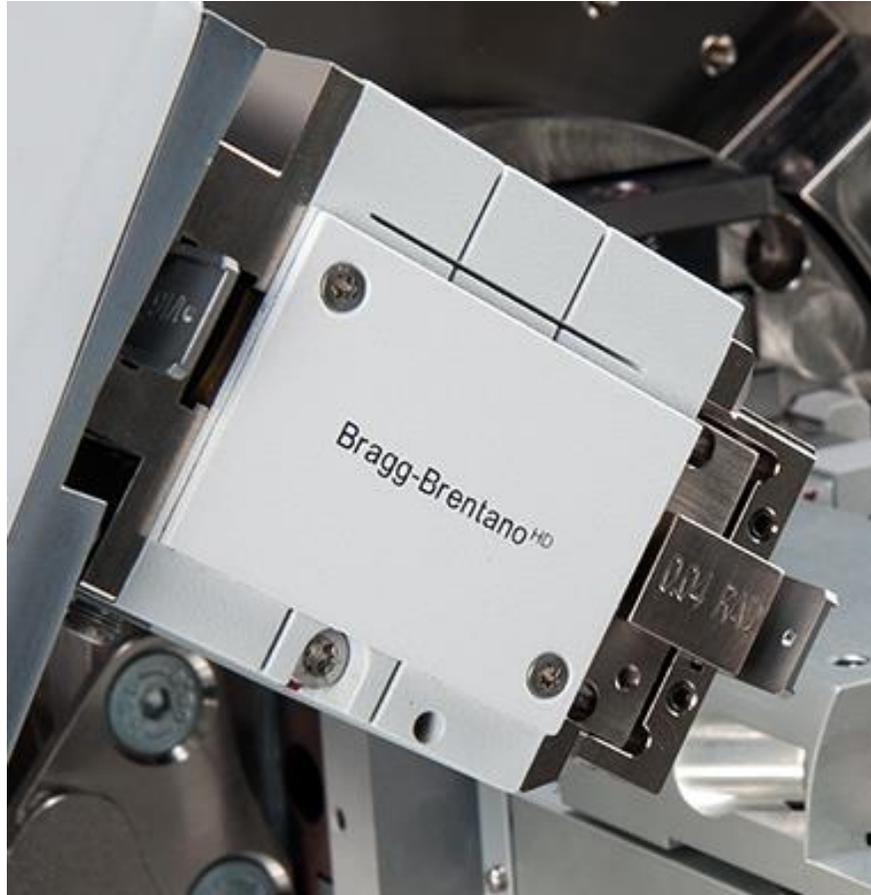
## Panalytical technologies to improve signal to noise ratio; investigation of ferrous and non-ferrous alloys by XRD

XRD application specialist: Dr. Matteo Pernechele



# Mirrors for Bragg-Brentano geometry

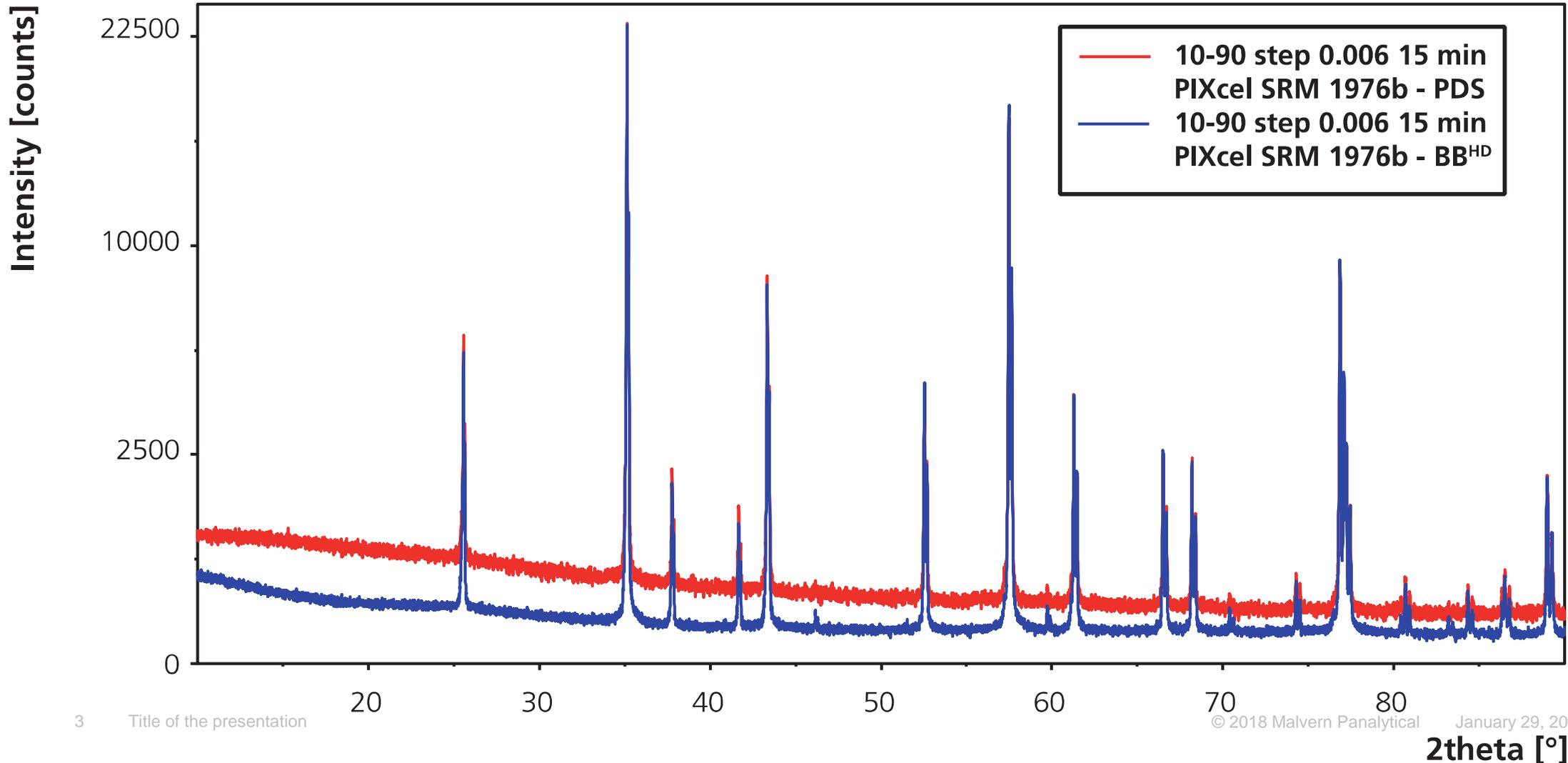
**Mirrors provide a divergent beam with high spectral purity**



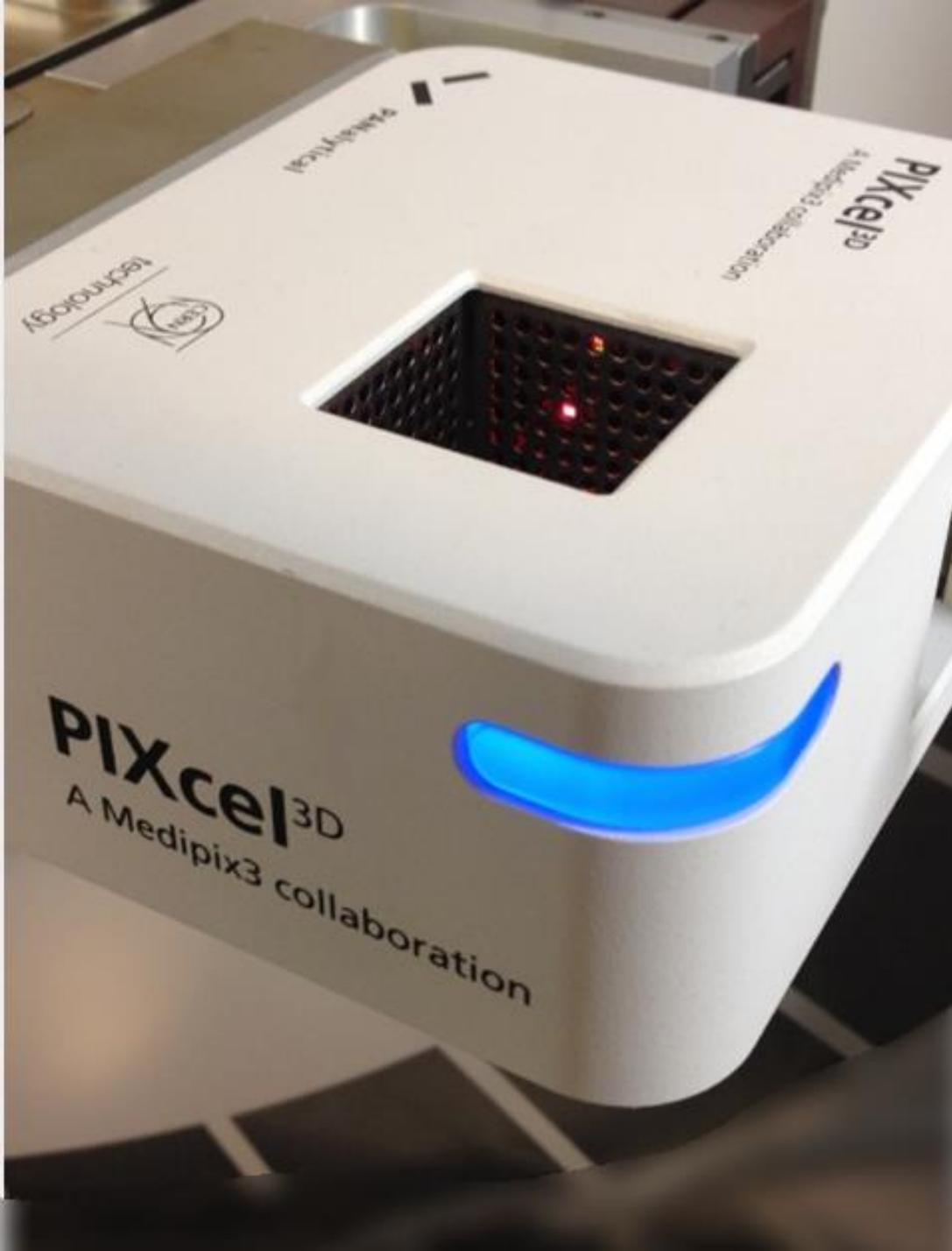
- BBHD (Bragg-Brentano High Definition) is a divergent incident beam module for high-quality Bragg-Brentano data with an energy resolution of  $< 450$  eV (removes K!).
- Available for Cu and Co radiation
- It provides higher intensities than conventional Bragg-Brentano optics (Slit optics +  $K_{\beta}$  filter)
- It provides a significantly improved, smooth background and hence better detection limits (trace phases, amorphous components)
- Better low-angle performance than slit optics
- Reduced excitation of fluorescence

# Mirrors for Bragg-Brentano geometry

Mirrors provide a divergent beam with high spectral purity



# PIXcel<sup>1D</sup> & PIXcel<sup>3D</sup> Detector



## Empyrean and Aeris systems

Detector is optimized for **Cr, Co, Cu radiation**

Improved detection efficiency (~15% more)

Increased linearity range (0 –  $25 \times 10^6$  cps/column)

0D, 1D, 2D and 3D

55  $\mu\text{m}$  x 55  $\mu\text{m}$  (**sharp image**)

Point spread function 1 pixel (**sharp image, no blur**)

High dynamic range ( $>10^{10}$ )

## Two levels energy discrimination

True photon counting

No need for recalibration



## Fe bearing ore: Aeris case study



**Malvern  
Panalytical**  
a spectris company

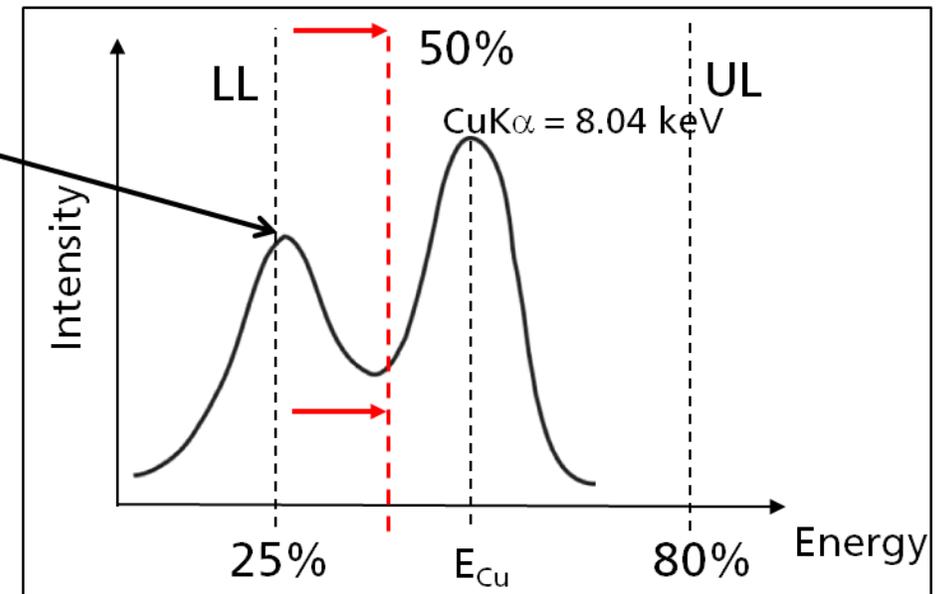
# Detector PHD settings

Detectors have the capability to define an energy window for the detected pulses, controlled by the pulse height distribution (PHD) electronics.

The fluorescence-induced background can be reduced by setting the lower level closer to the energy of the used radiation.

Principle of adjusting the PHD window to cut out fluorescence induced background signal

fluorescence signal



# Signal to noise

## Aeris Case Study: Iron ore



- How good are your XRD data?
- Can we detect small impurities?
- How can we optimize the instrumental setup?

Signal to noise  $\frac{S}{N} = \frac{I - B}{3\sqrt{B}}$

where  $I$  = signal intensity,  $B$  = background intensity

# 50 sec measurement with Aeris (Copper Tube)

## Signal to noise as a function of PIXcel Detector PHD levels



Detector

Type: PIXcel3D-Medipix3 1x1 detector

Mode: Scanning line detector (1D)

Active length: 5.542 ° 2θ

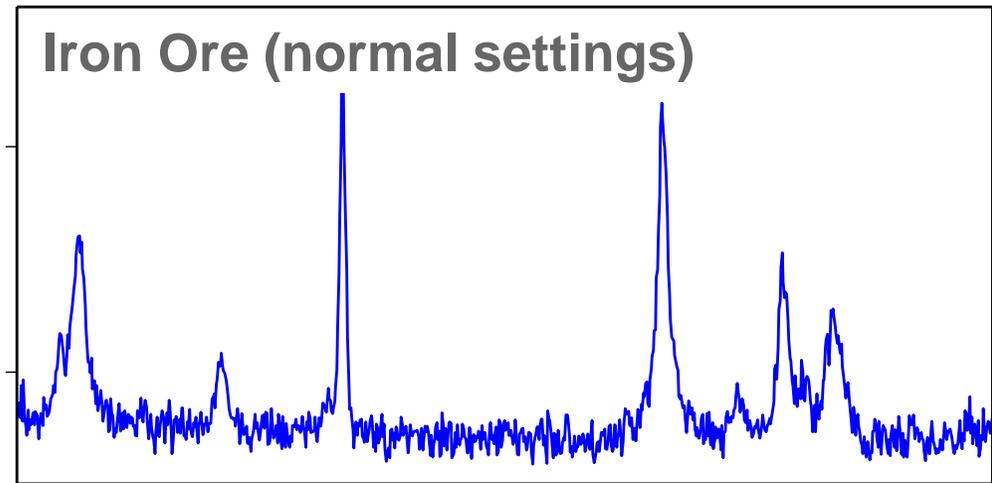
PHD levels

- Use defaults
- Use specific levels

Lower level: 3.5 keV

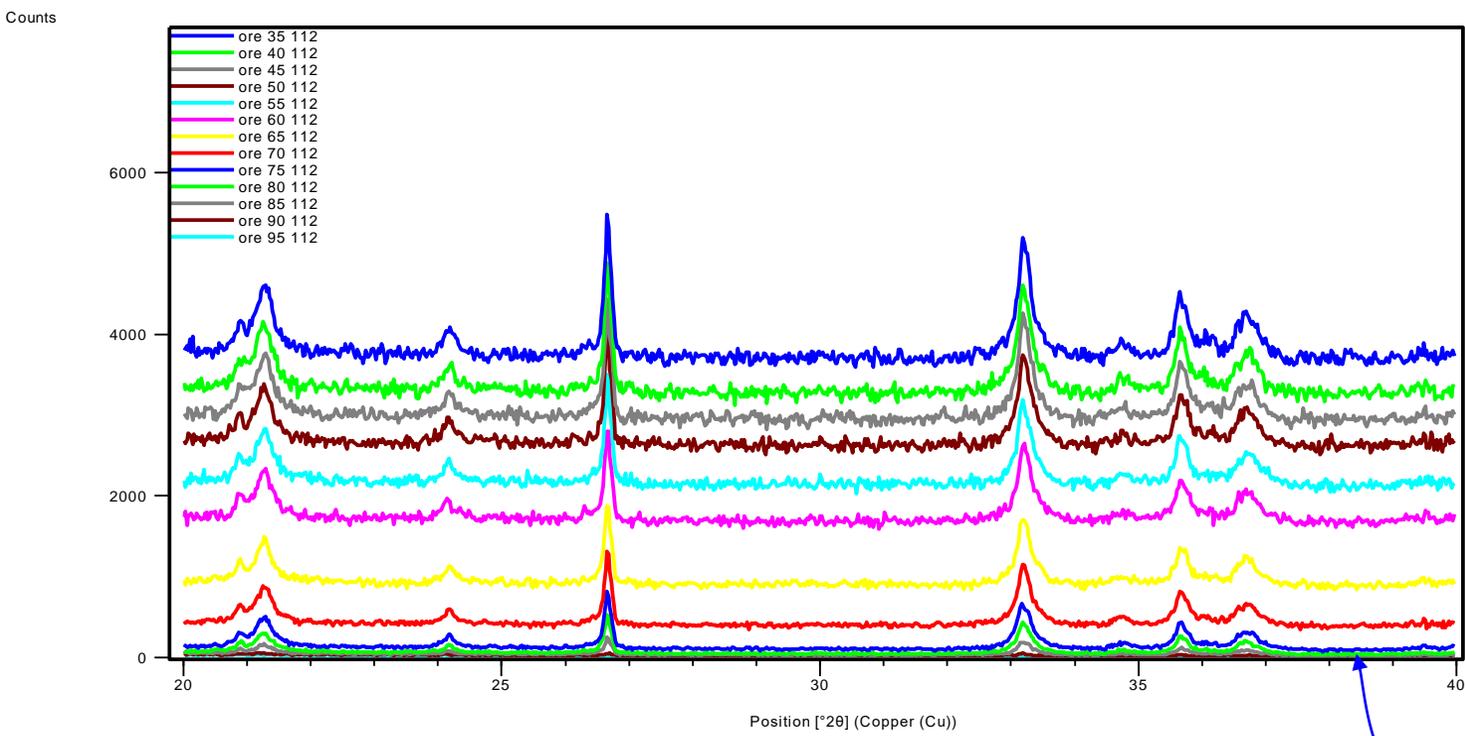
Upper level: 11.2 keV

Counts

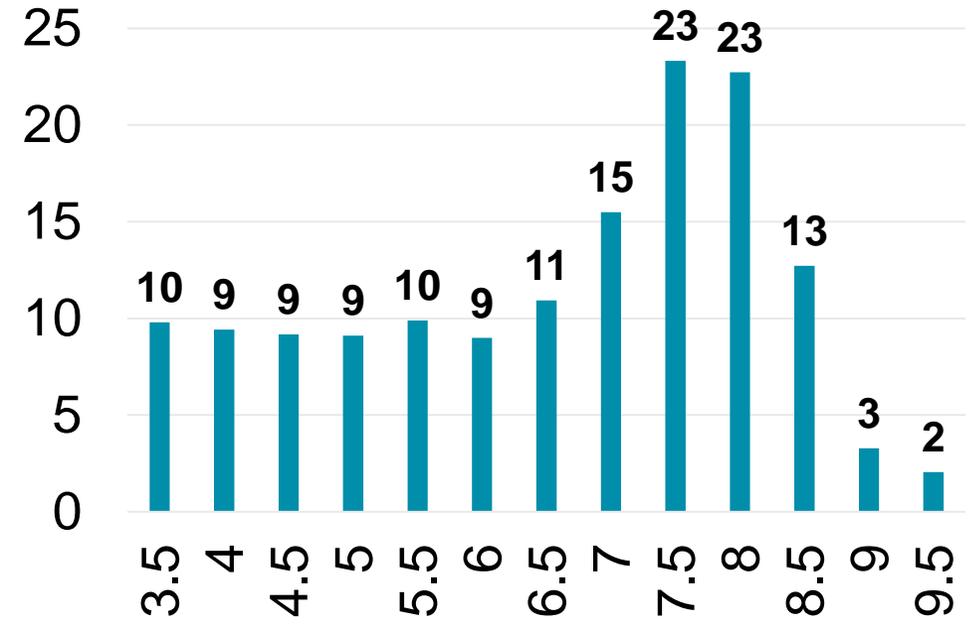


# XRD scans with different PHD minimum values

## Lower signal and lower background. But S/N?



### Signal to Noise

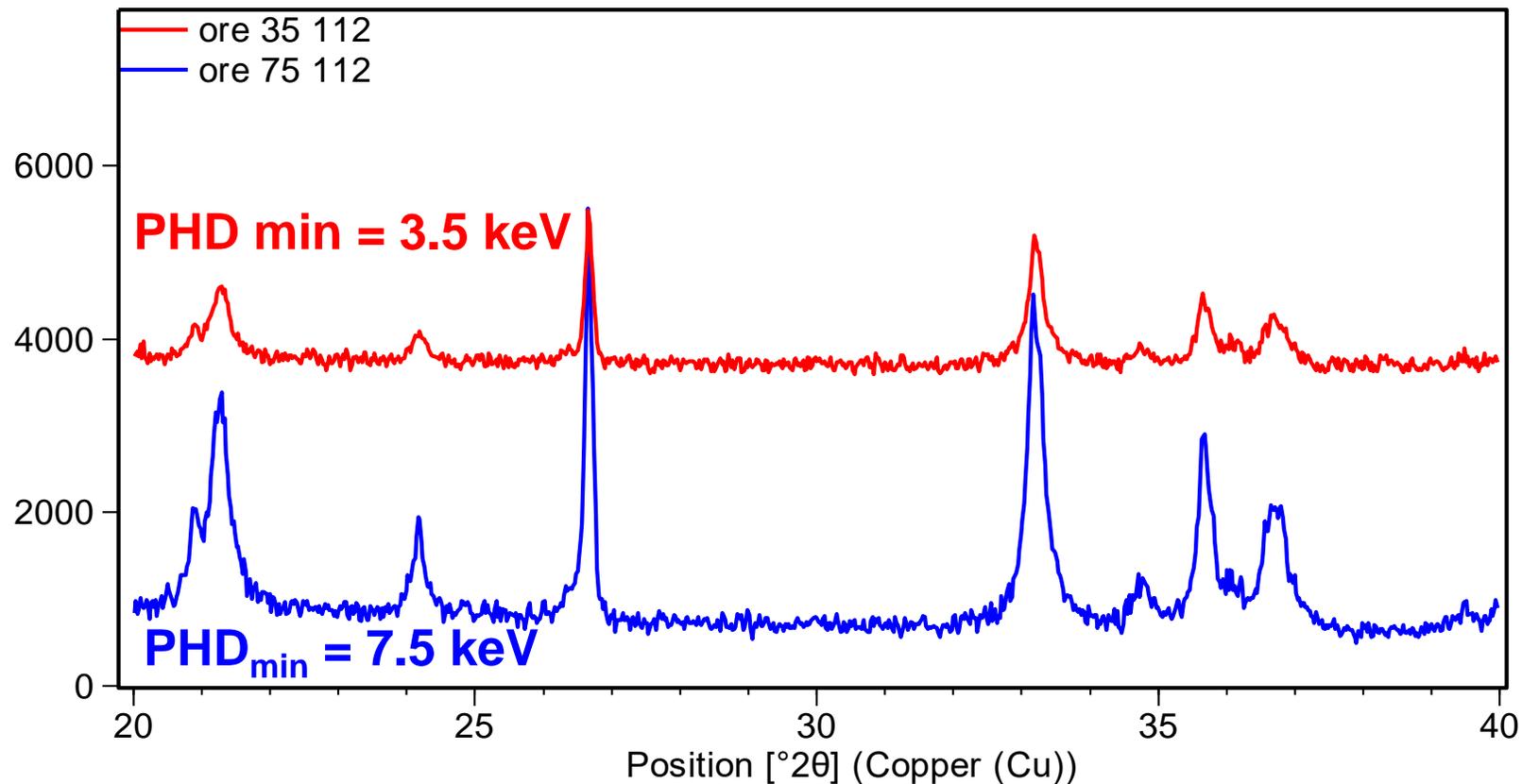


# Standard vs Optimum setup for iron samples

## Renormalize scans to show same max intensities

Same max intensity, different background and S/N

Counts



To obtain similar S/N without PHD setting optimization a scan more than 6 times longer is needed

S/N = 9.8

**X6 efficiency!**

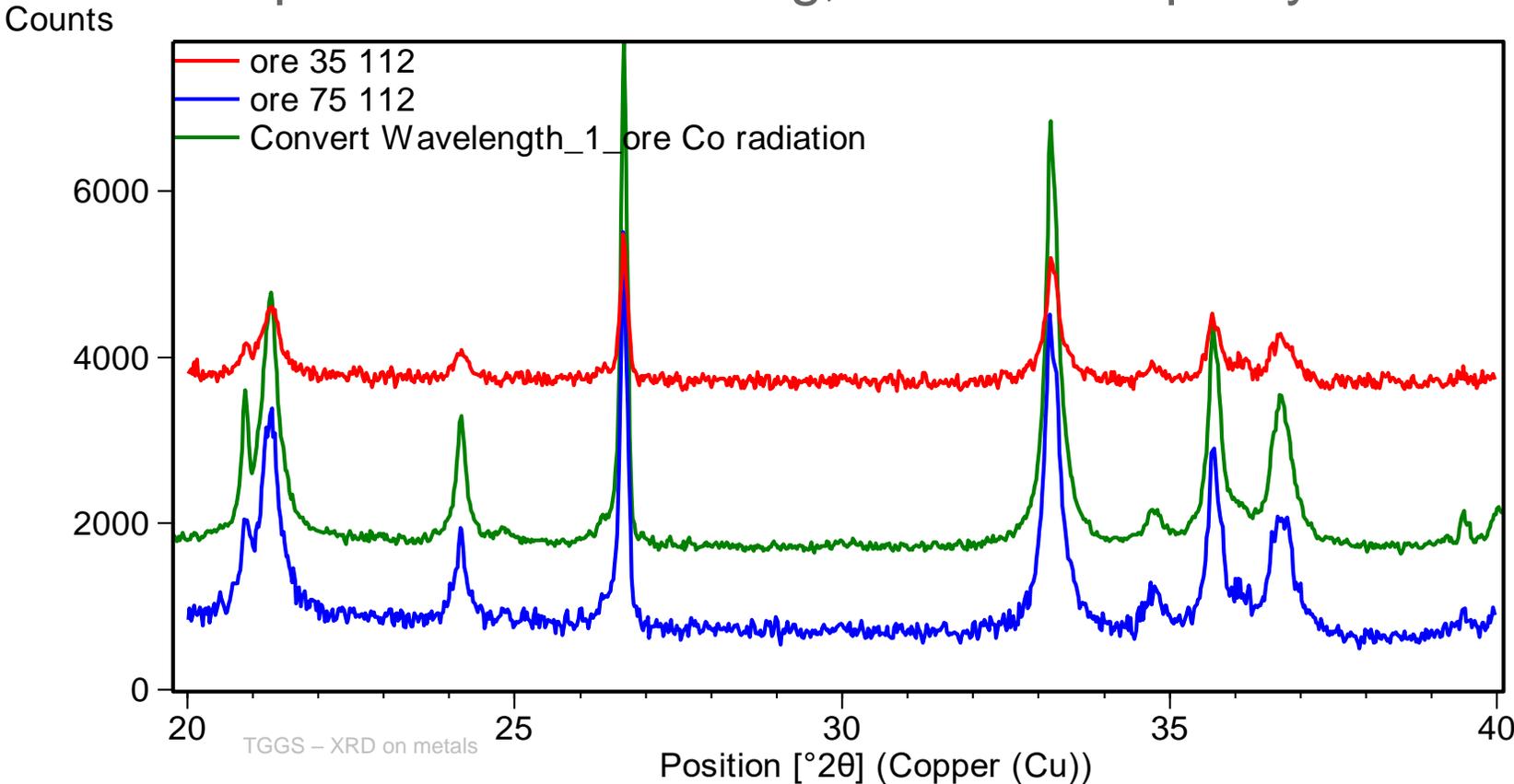
S/N = 23.3

# Comparisons with Co radiation

## Aeris-Co vs Aeris-Cu

Without the energy discrimination of the PIXcel detector, a measurement of 10 minutes on Aeris-Co would take 4 hours on Aeris-Cu (same S/N).

With optimized PHD setting, same data quality can be obtained in 40 minutes.



Note:

Co radiation probes roughly x5 more volume of Cu radiation.

S/N = 9.8

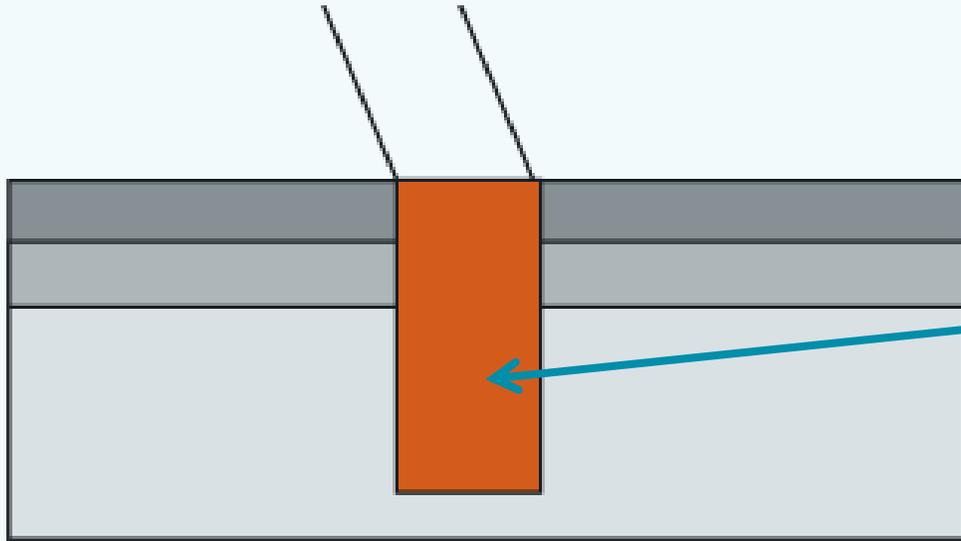
S/N = 48.4

S/N = 23.3

x 4

x 6

# Penetration depth: intrinsic limit of Cu tube with steel



Analyzed volume

## Sampling depth in steel

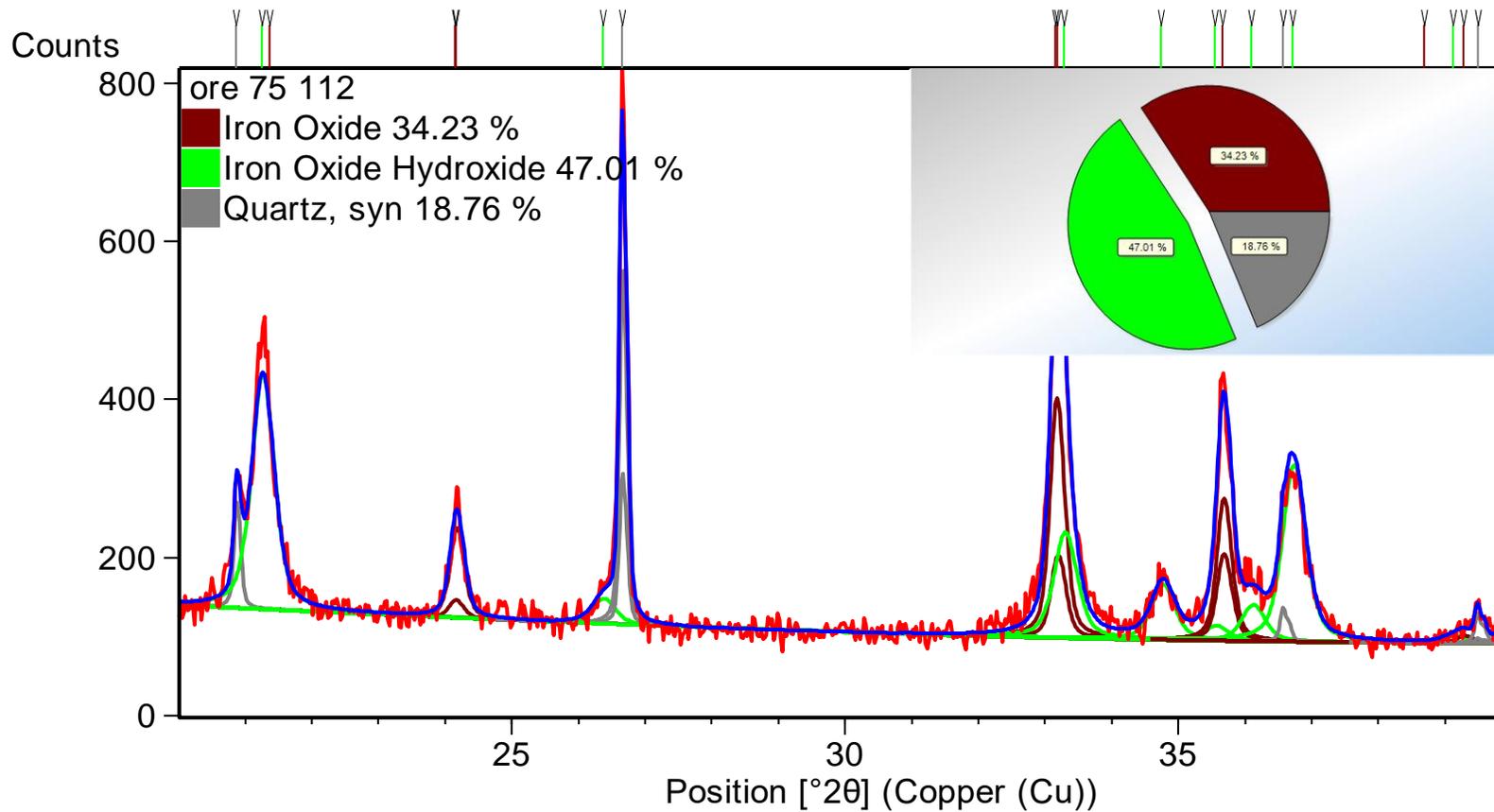
X-ray source	{hkl}	$^{\circ}2\theta$	sampling depth [ $\mu\text{m}$ ]
Co	310	161	48.5
Cu	222	137	8.8

X5

# Rietveld Refinement using HighScore Plus

## Goethite, Hematite, Quartz.

### 75 wt% of $\text{Fe}_2\text{O}_3$



Chemistry Calculator

Use input formulas from data set: ore

Enter Formula...

Show Elements Show Oxides

Please click on a Show button

Input/Output Matrix View Grid View (All)

Formula	Phase	
List		Totals
H2O		4.77
SiO2		18.76
Fe2O3		76.18
Sum of Output		99.71

# Conclusion



- Cobalt tube is preferable to Copper tube for analyzing iron ore and steel (Co is 2400% better)
- Panalytical PIXcel detector can filter out fluorescence radiation
- PIXcel help bridging the gap between Copper and Cobalt tube (Co is “only” 400% better)
- PIXcel is available for older model (Xpert powder) and newer models (Aeris, Empryrean)

Complete XRD  
analysis of steel

with Empyrean  
(Co tube)



# Select proper instrument for the task - Emphyrean



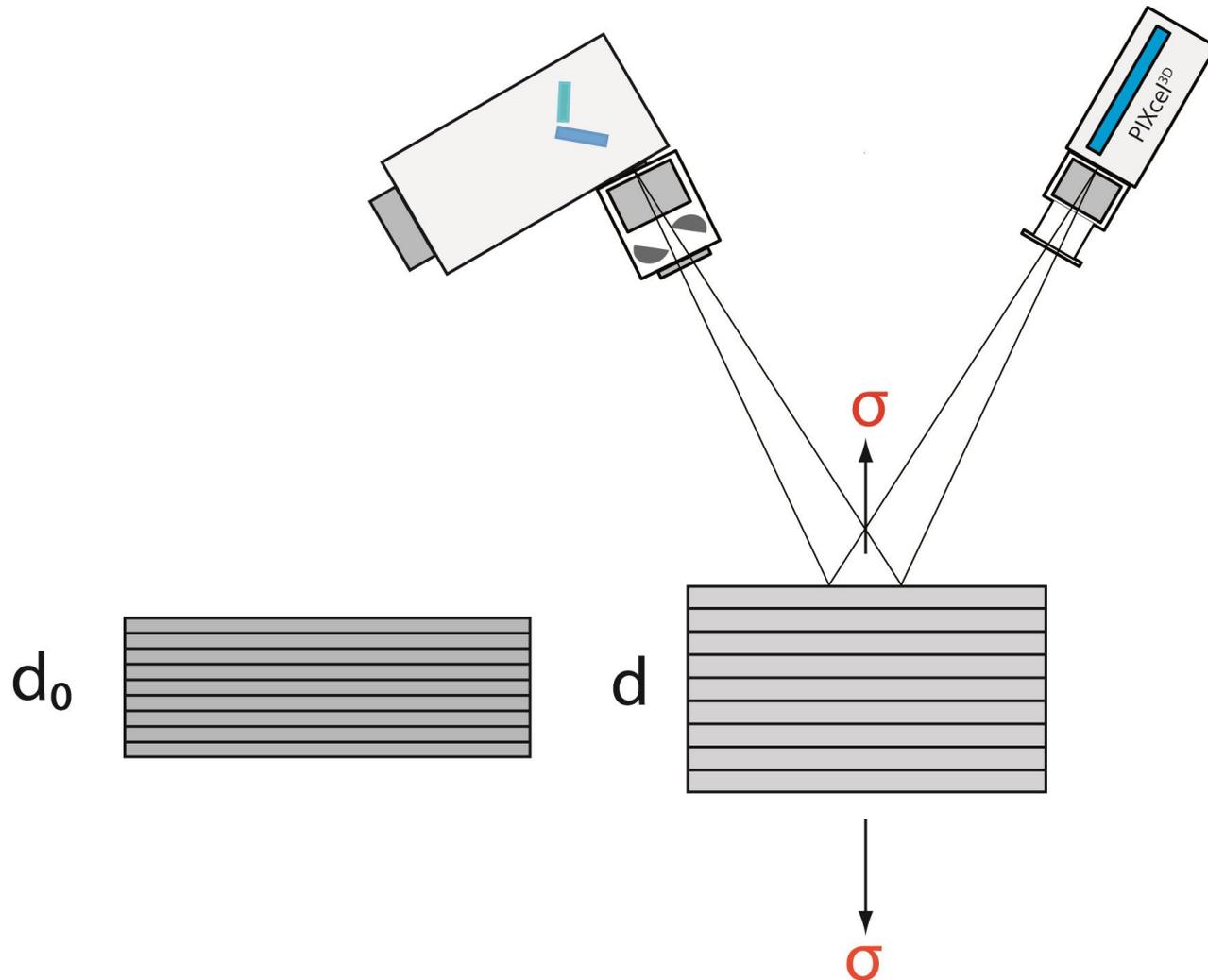
## Multi-purpose instrument

- Powder XRD
- Basic SAXS
- Omega stress
- Omega texture
- GIXRD
- Reflectometry

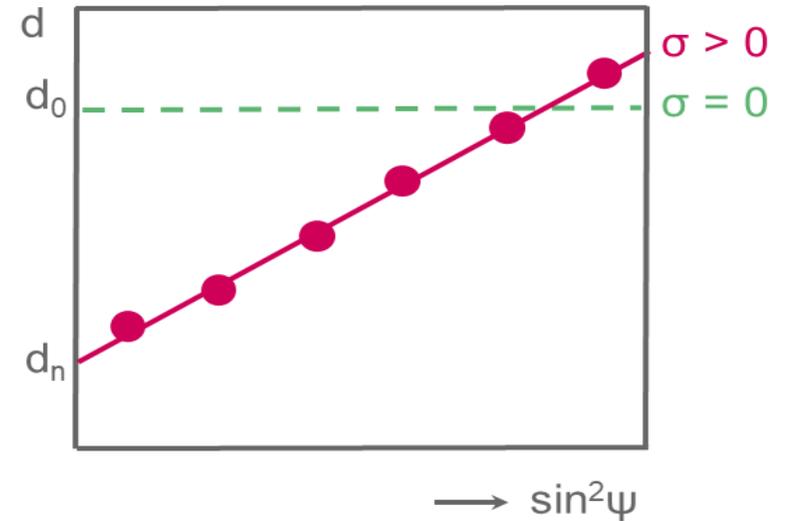


- in situ* XRD
- Advanced SAXS
- Comp. tomography
- Advanced thin film metrology
- PDF
- Hard radiation
- Advanced stress/texture

# Peak position $\rightarrow$ residual stress



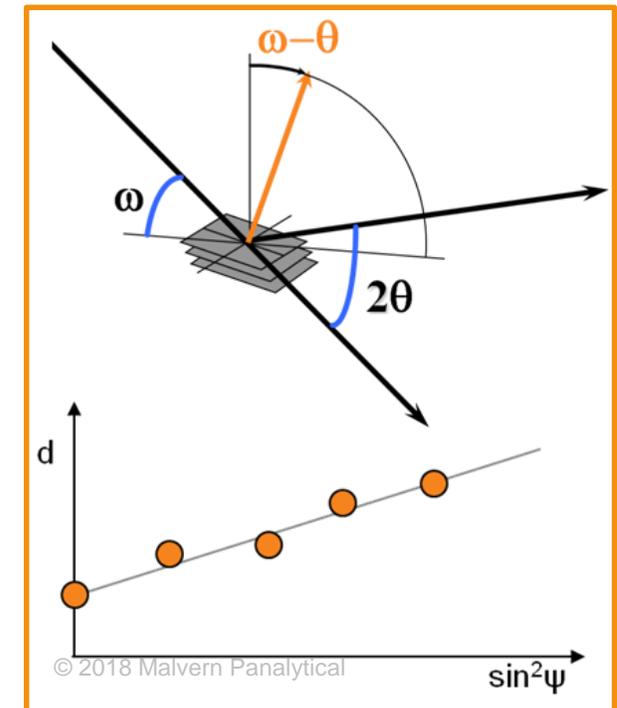
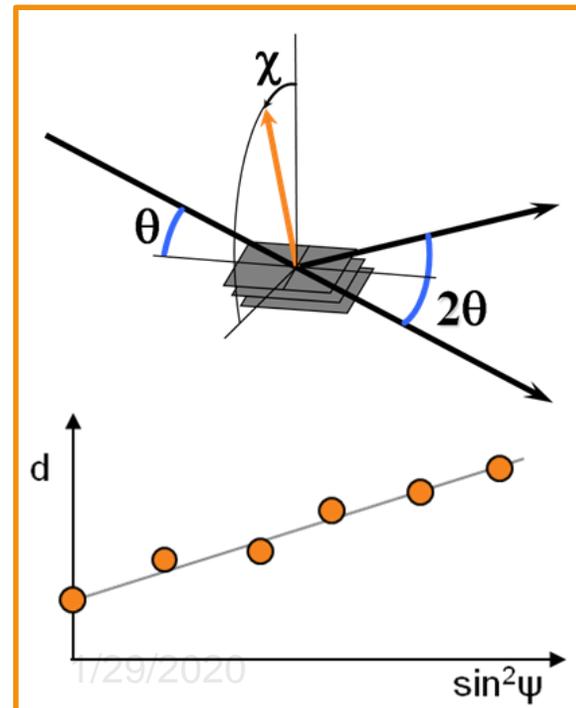
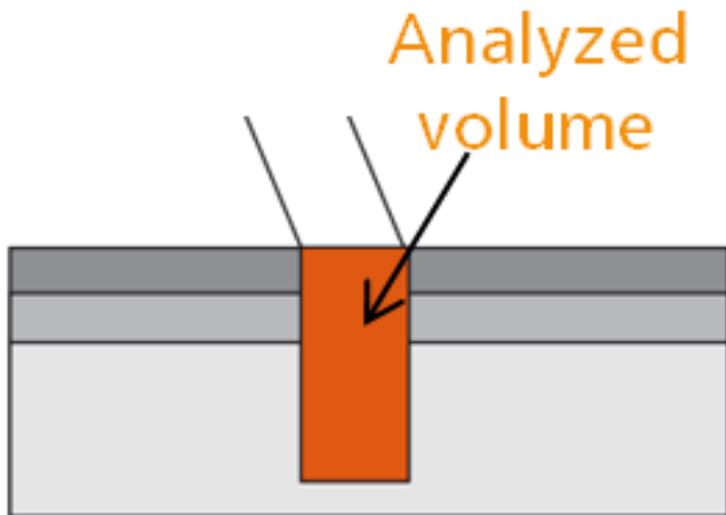
$$\varepsilon = \frac{d - d_0}{d_0} = \frac{\Delta d}{d_0} \longrightarrow \sigma = E \cdot \varepsilon$$



# How can it be measured?

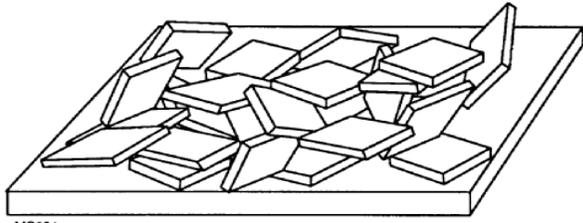
## Single {hkl} methods ( $\omega$ -stress, $\chi$ -stress)

- the position of a single reflection (usually  $> 100^\circ 2\theta$ ) is measured at different  $\psi$  &  $\varphi$ .
- **$\chi$ -stress** : sample is tilted using a cradle
- **$\omega$ -stress** : the goniometer is tilted with respect to the horizontal sample
- positive and/or negative tilts (both directions only required for shear stresses)

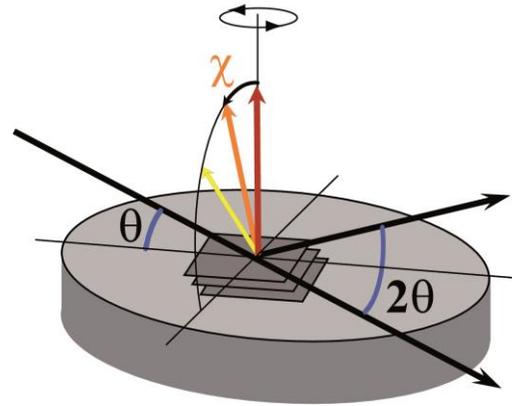
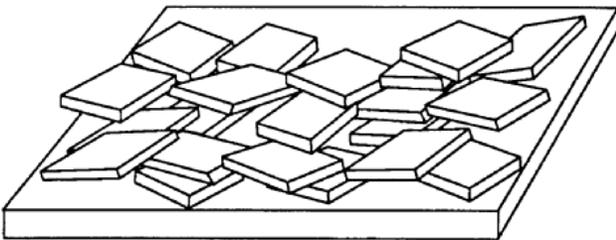


# Peak intensity $\rightarrow$ preferred orientation/texture

Random orientation

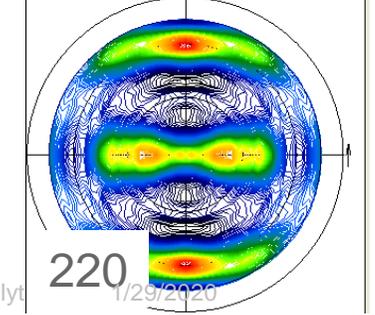
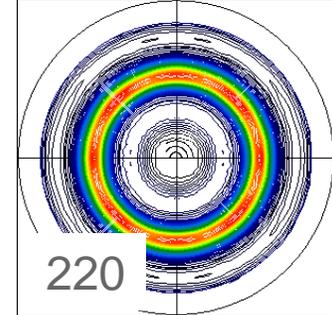
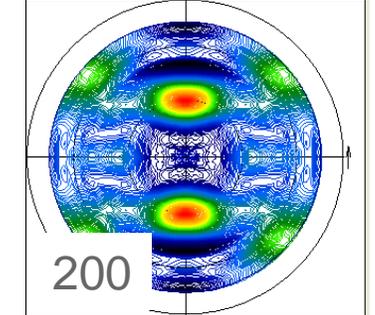
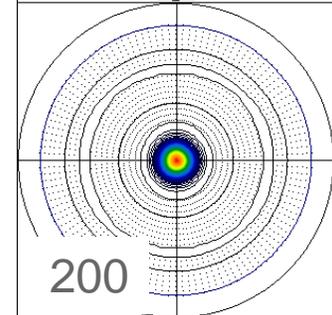
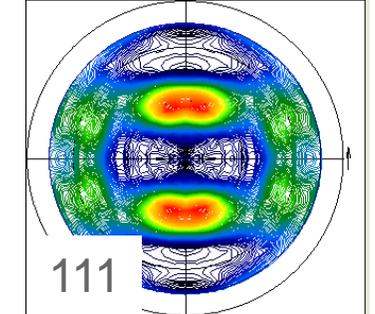
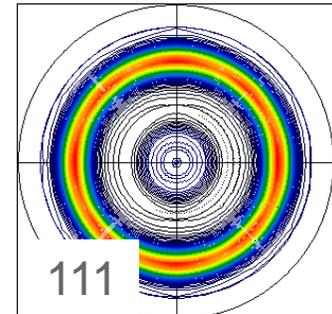


Preferred orientation



Ni coating on steel

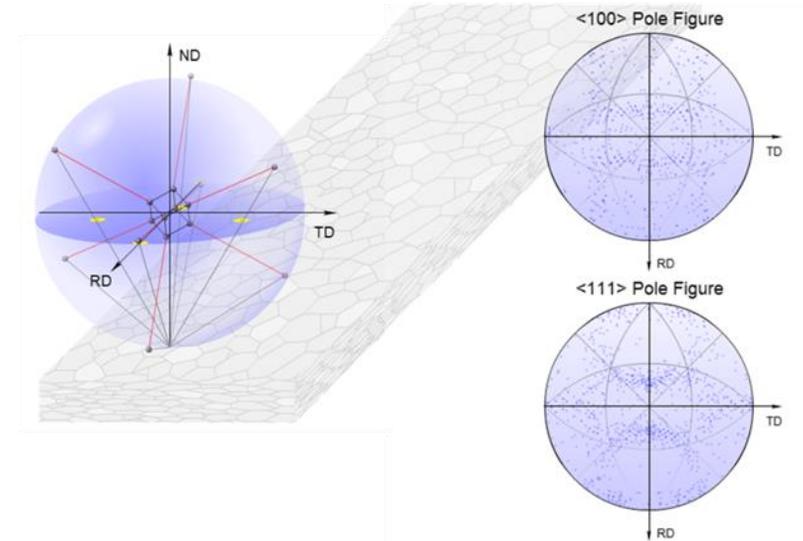
Cu sheet



# Texture – General information

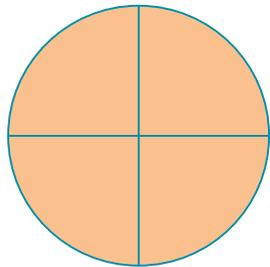
Depending on the texture in the material the sum of all crystallites will produce specific features characteristic for a certain type of texture

A good texture analysis is only possible if sufficient particle statistics are present (spot size  $\gg$  average grain size)

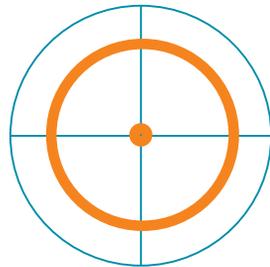


- Examples of typical texture types and schematics of characteristic pole figures

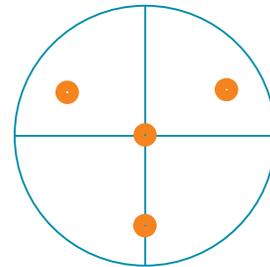
Random crystallites



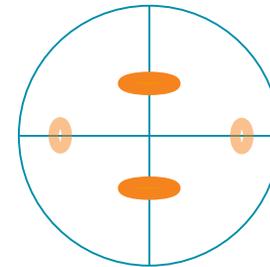
Fiber texture



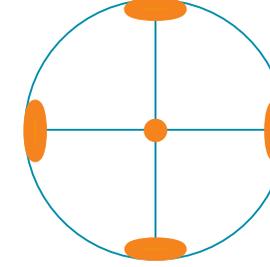
Single crystal



Rolling texture



Cube texture

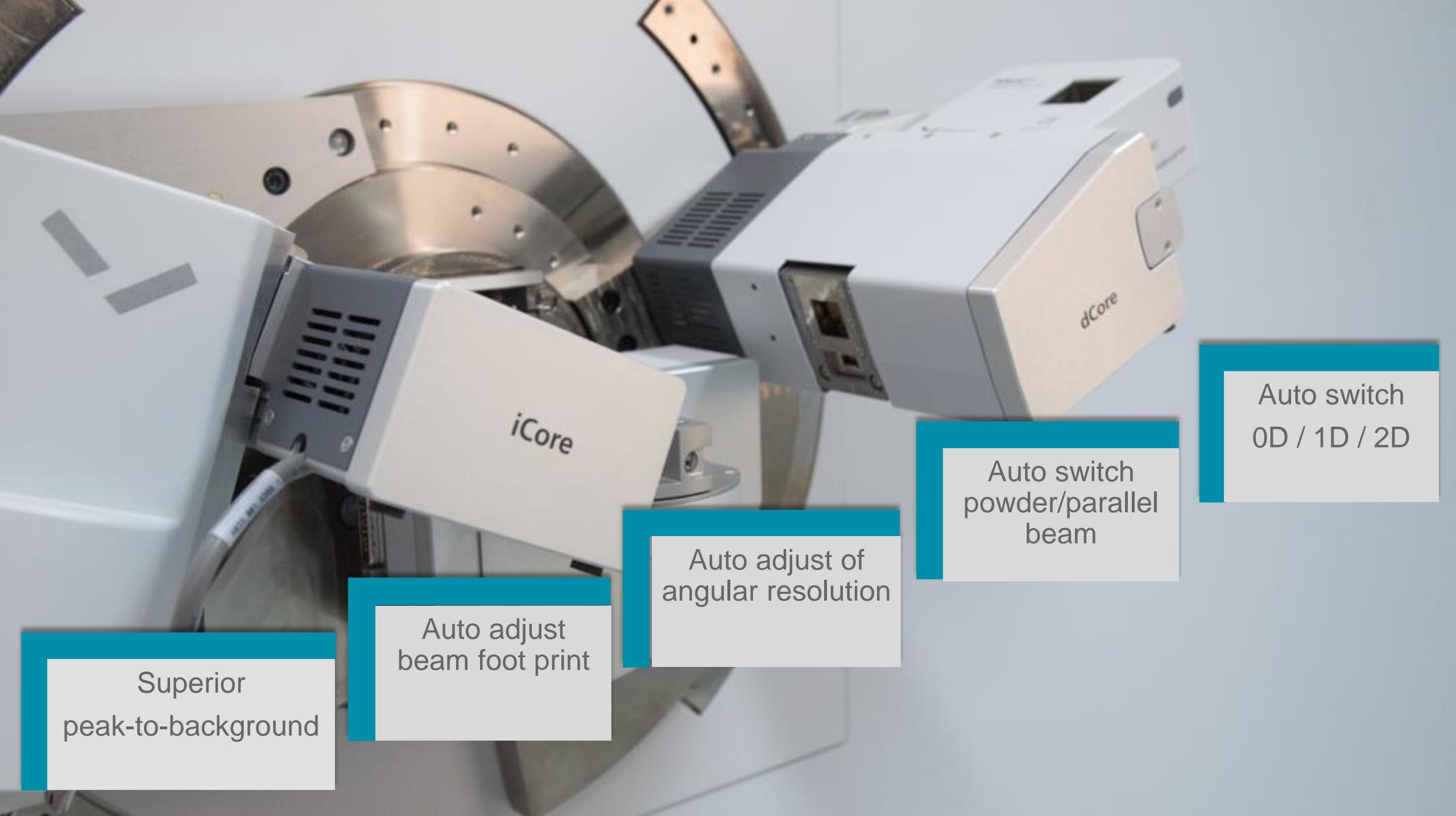




How difficult are XRD  
advance techniques?



**Malvern  
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a spectris company



Superior  
peak-to-background

Auto adjust  
beam foot print

Auto adjust of  
angular resolution

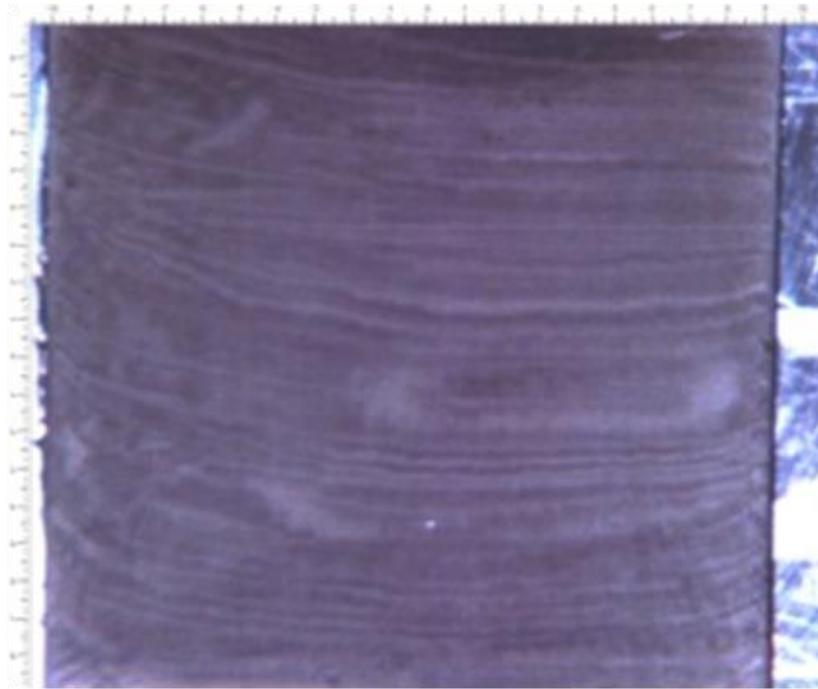
Auto switch  
powder/parallel  
beam

Auto switch  
0D / 1D / 2D

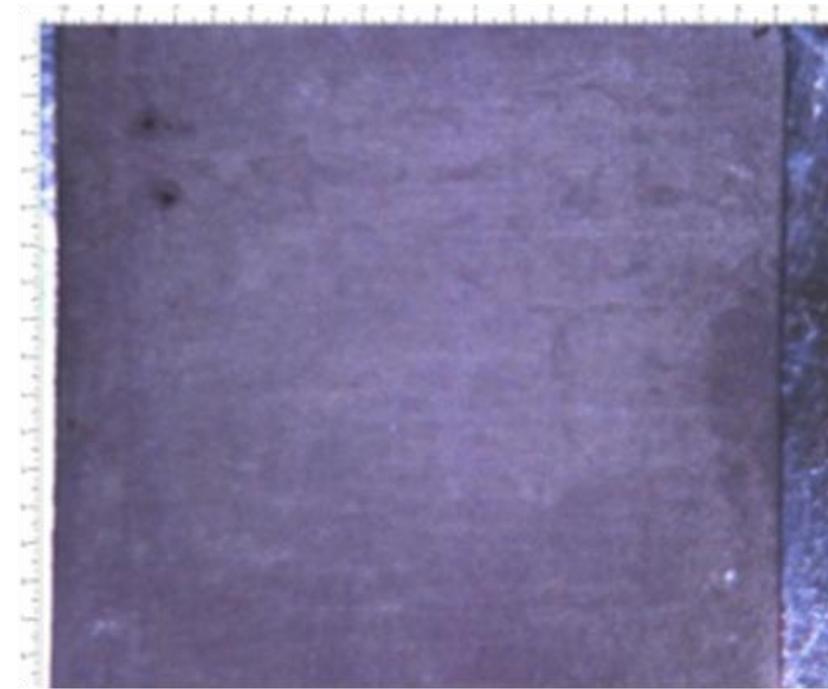
## 2 Steel Samples: before and after tempering

XRD Analyses using Empyrean:

- phase composition (retained austenite), crystallinity, texture and residual stress.

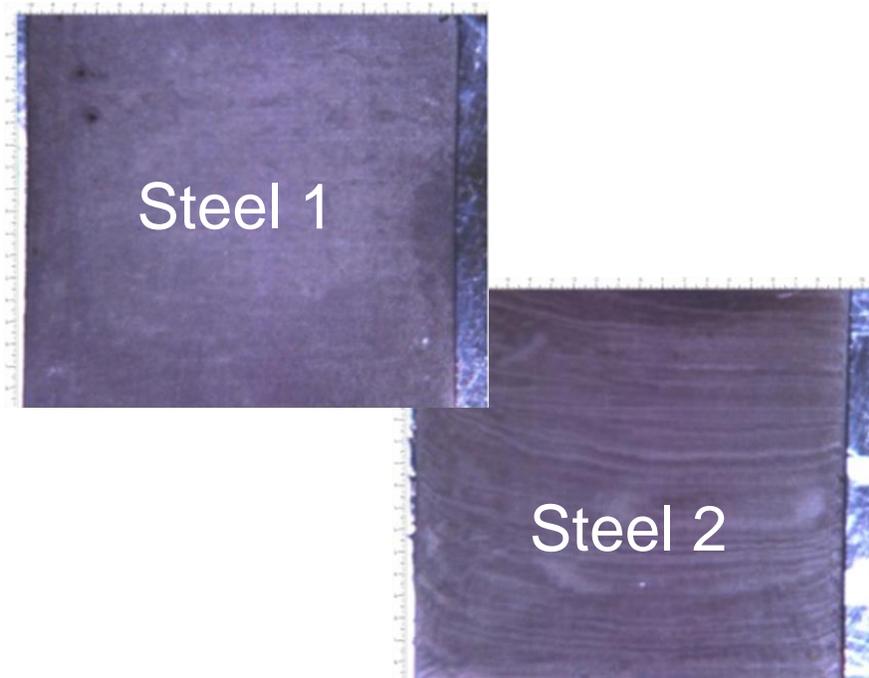


**Sample-1**



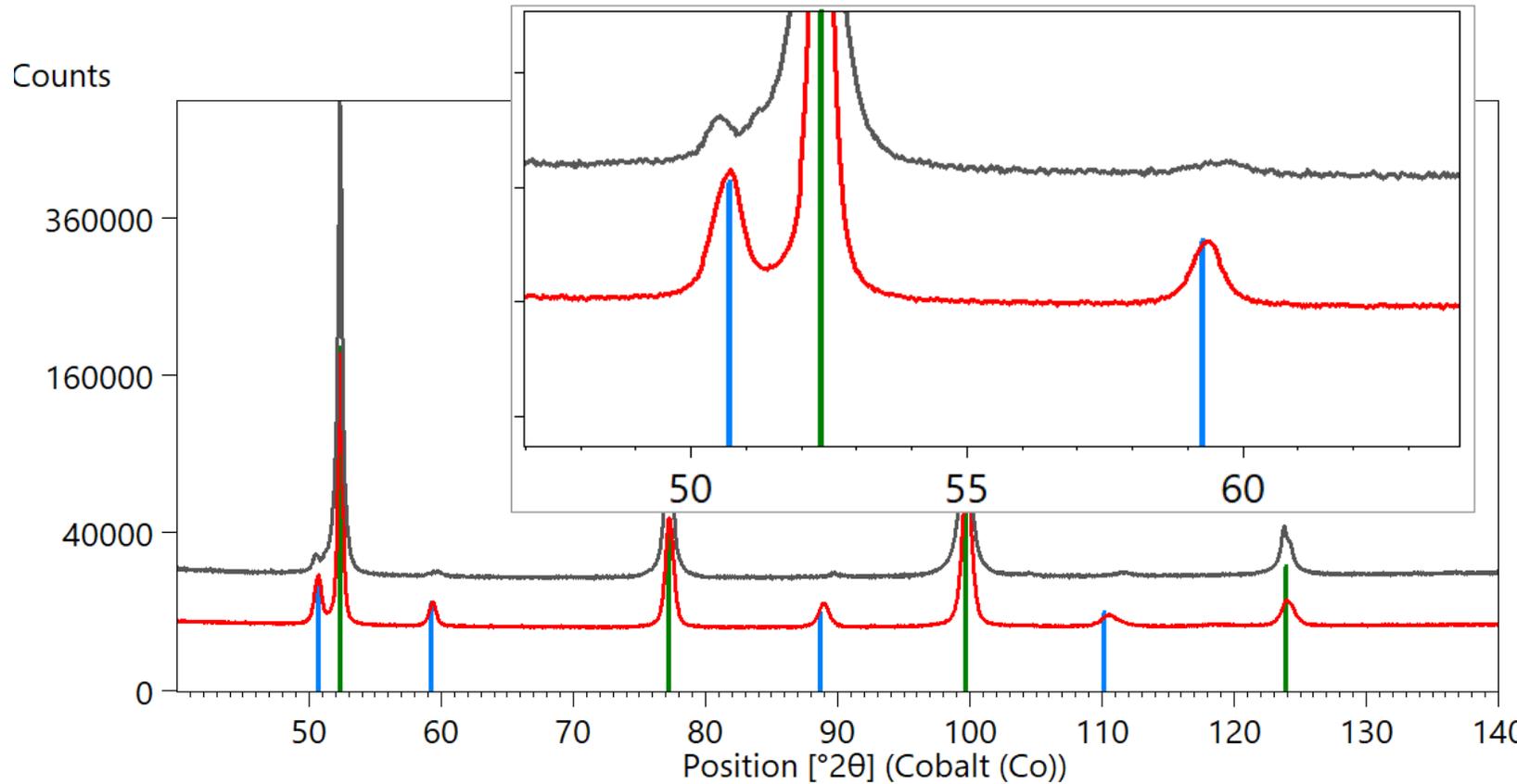
**Sample-2**

# What can we learn using XRD?



- Phase composition
- Crystallite size
- Residual stress
- Texture

# Phase composition (peak position & intensity)



- Austenite (Fe, FCC)
- Ferrite/Martensite (Fe, BCC)

12 min per sample

Sample -1

Retained Austenite Volume %: 10.1

No of Austenite peaks : 5  
No of Ferrite peaks : 4

----- WARNINGS -----  
Texture Austenite (>10%) : Yes  
Texture Ferrite (>10%) : No  
Low Austenite (<1%) : No

Compliance with ASTM E975 norm: No

Sample -2

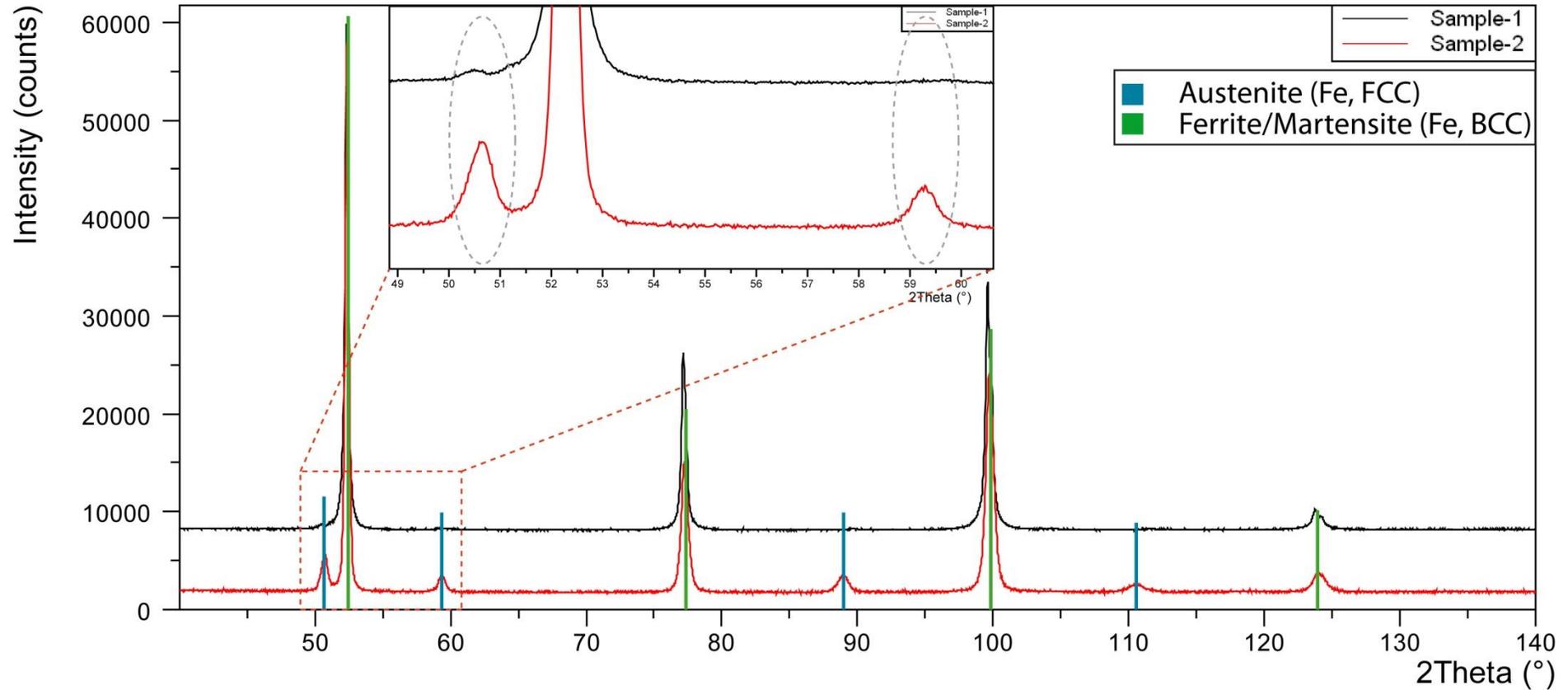
Retained Austenite Volume %: 0.7

No of Austenite peaks : 5  
No of Ferrite peaks : 4

----- WARNINGS -----  
Texture Austenite (>10%) : Yes  
Texture Ferrite (>10%) : No  
Low Austenite (<1%) : Yes

Compliance with ASTM E975 norm: No

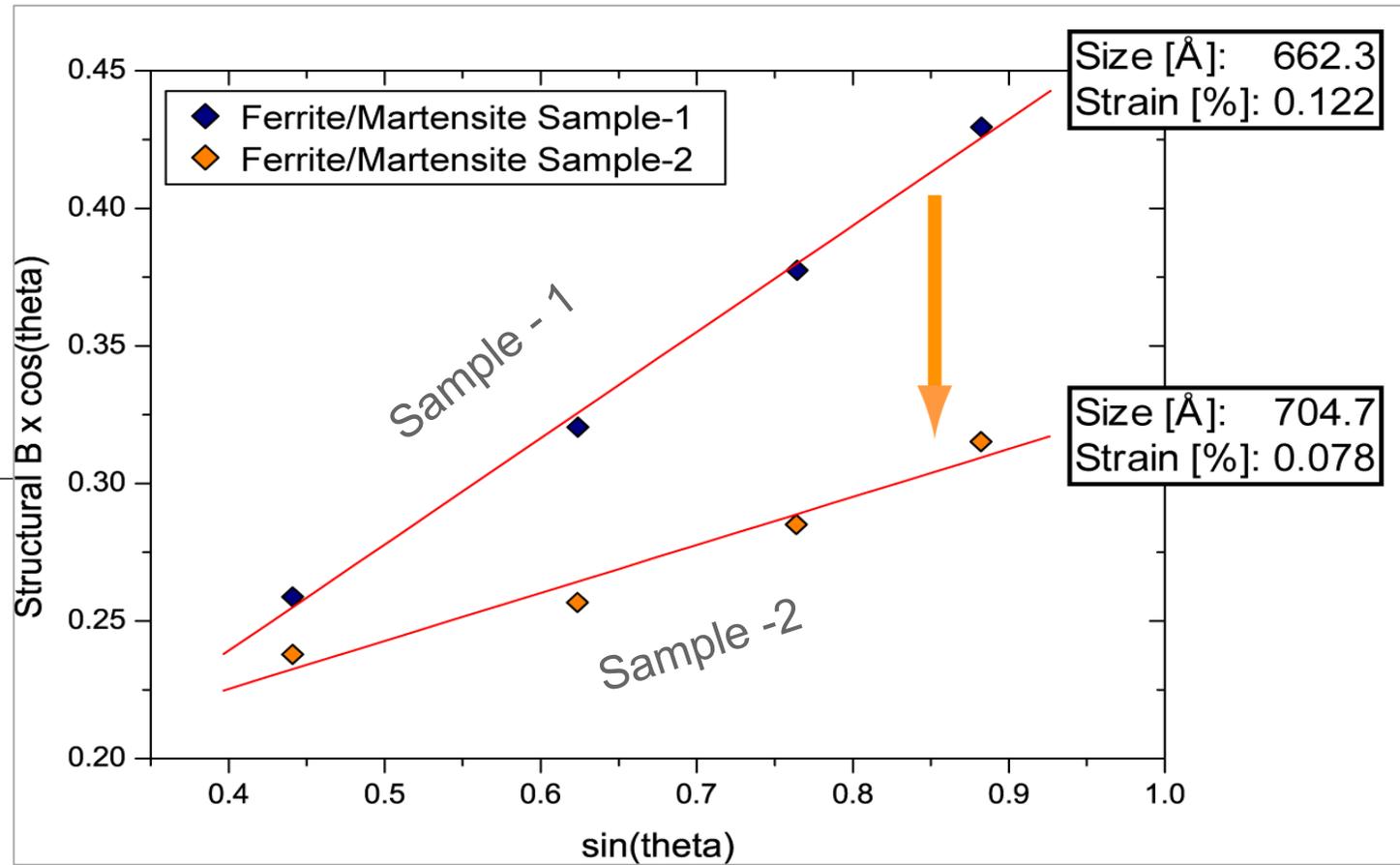
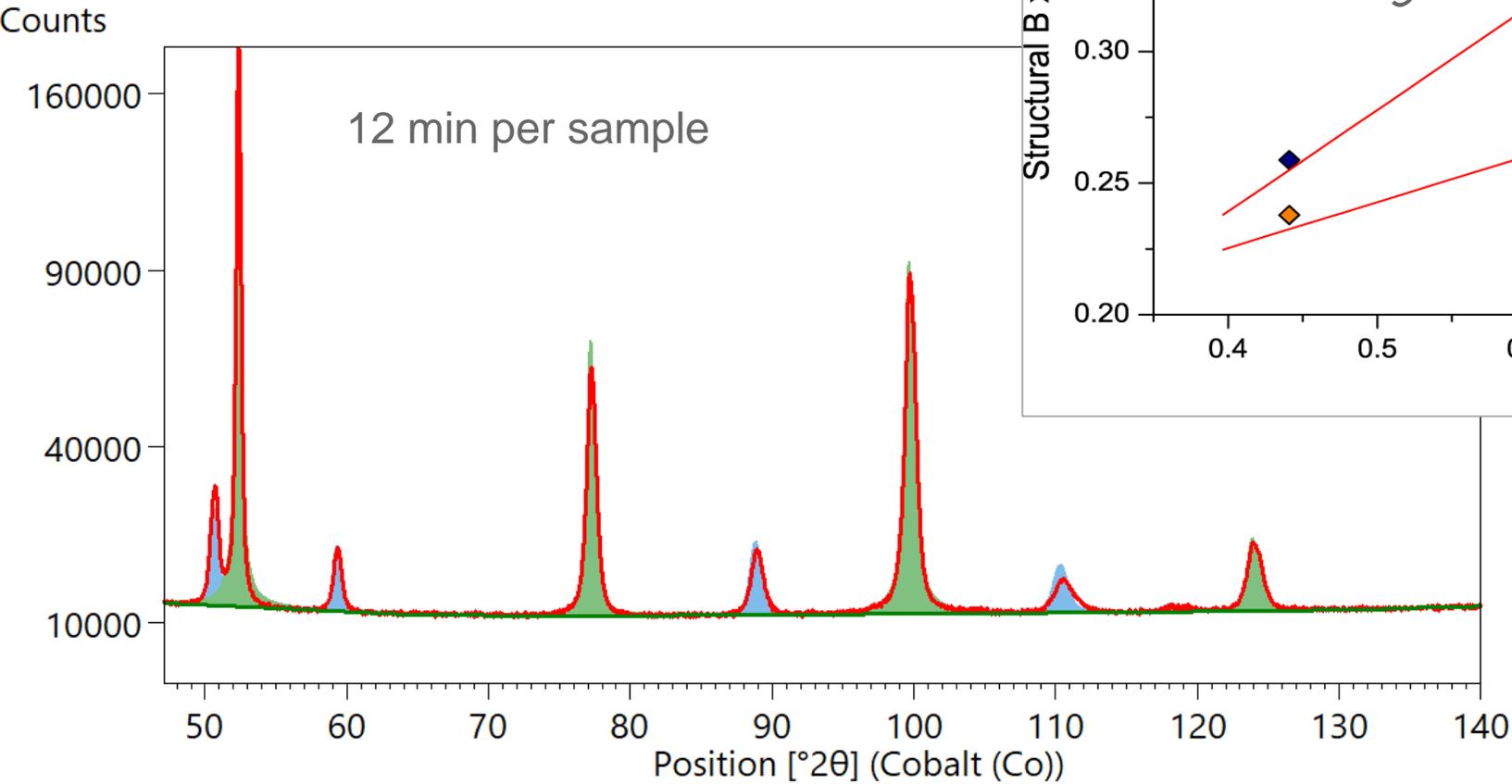
# Results – Phase ID



Sample-2 showing a significantly higher Austenite signal than Sample-1

# Crystallite size and strain

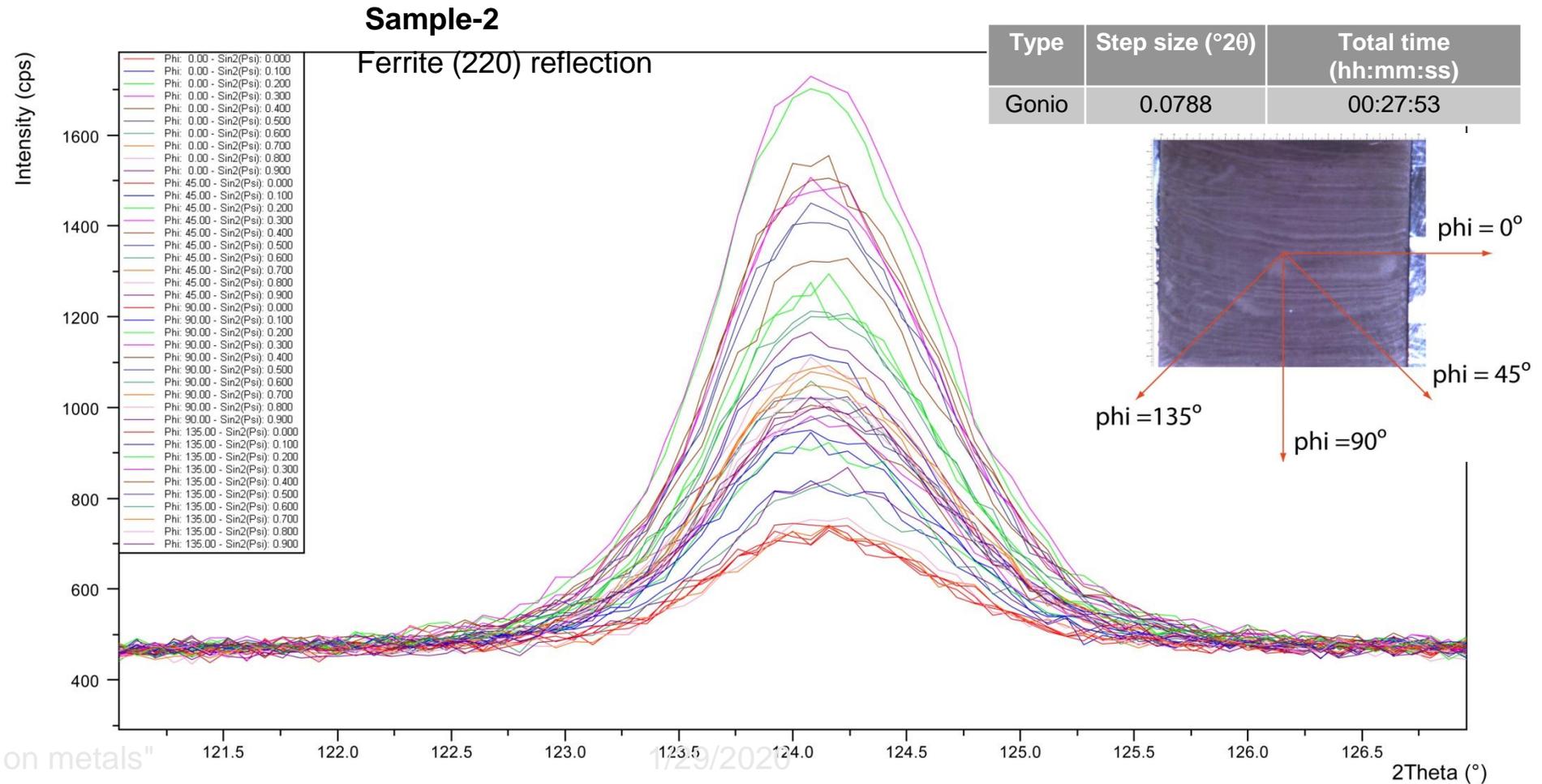
## From peak broadening



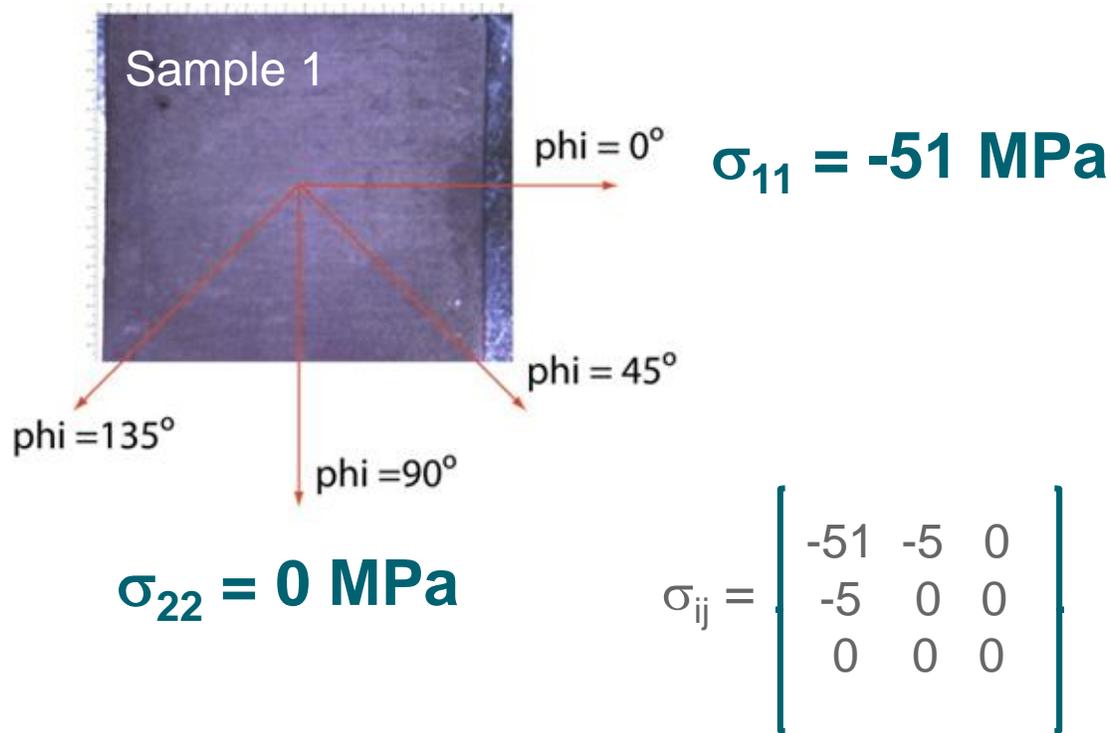
# Results – Residual stress

Full tensor residual stress measurements classical  $\chi$ -stress approach.

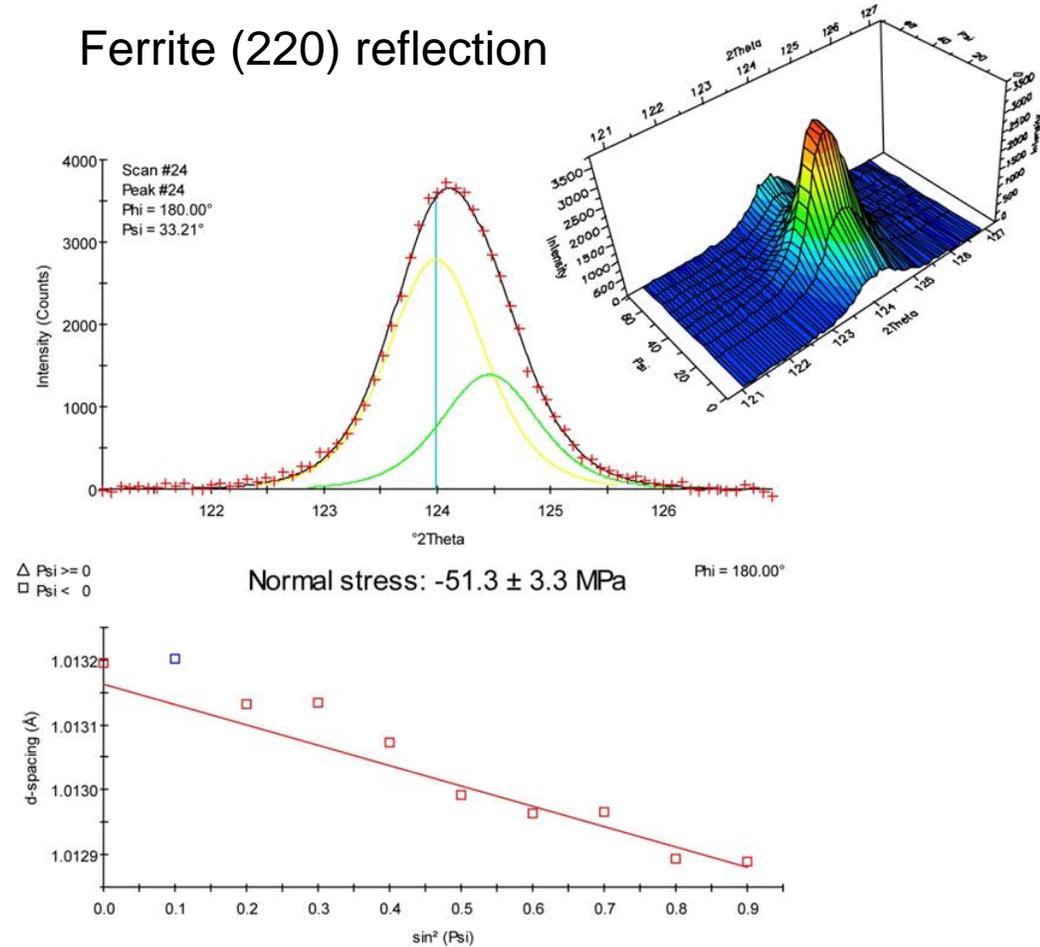
Hence the sample was tilted in  $\chi$  and measured in all sample 4 directions.



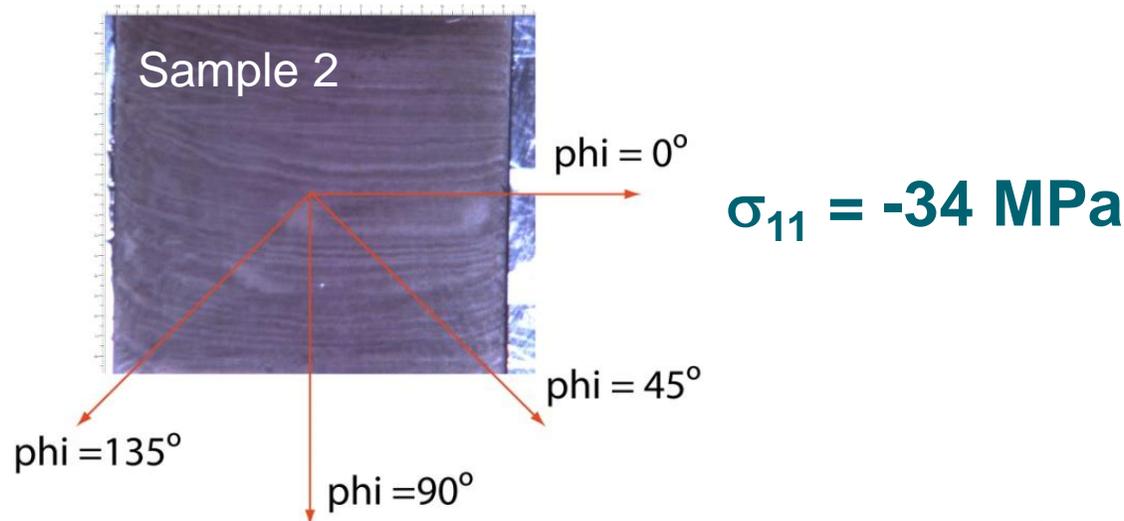
# Residual stress (peak position at different tilts)



Ferrite (220) reflection



# Residual stress (peak position at different tilts)

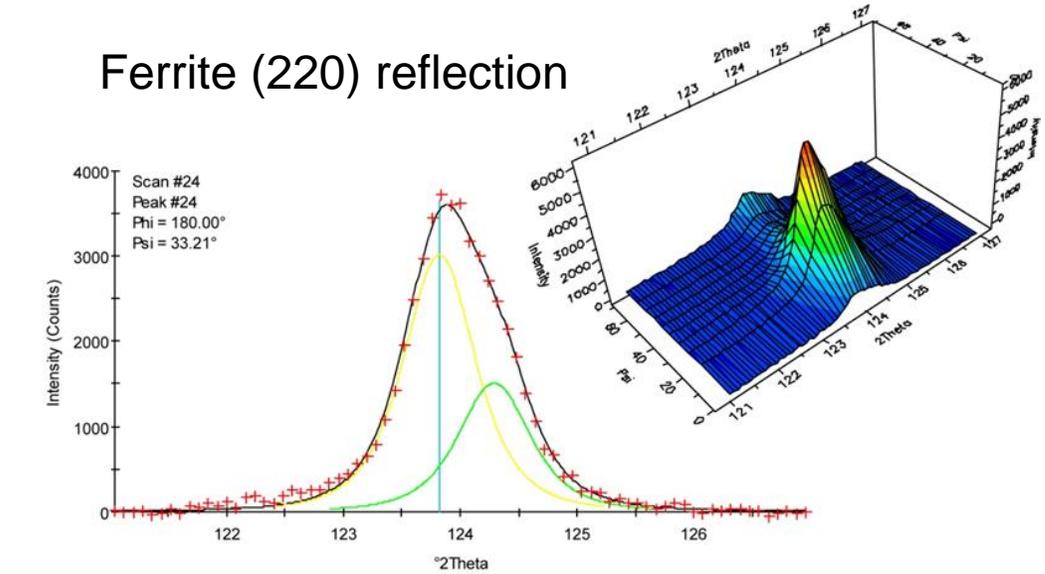


$\sigma_{11} = -34 \text{ MPa}$

$\sigma_{22} = 0 \text{ MPa}$

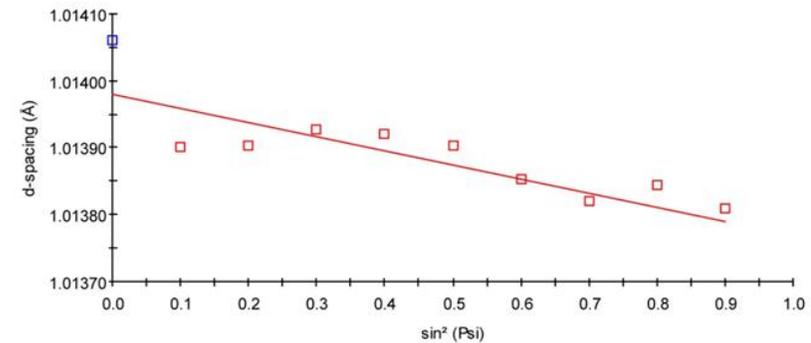
$$\sigma_{ij} = \begin{pmatrix} -34 & 13 & 0 \\ 13 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

## Ferrite (220) reflection



△ Psi >= 0  
□ Psi < 0

Normal stress:  $-34.5 \pm 3.2 \text{ MPa}$       Phi = 180.00°

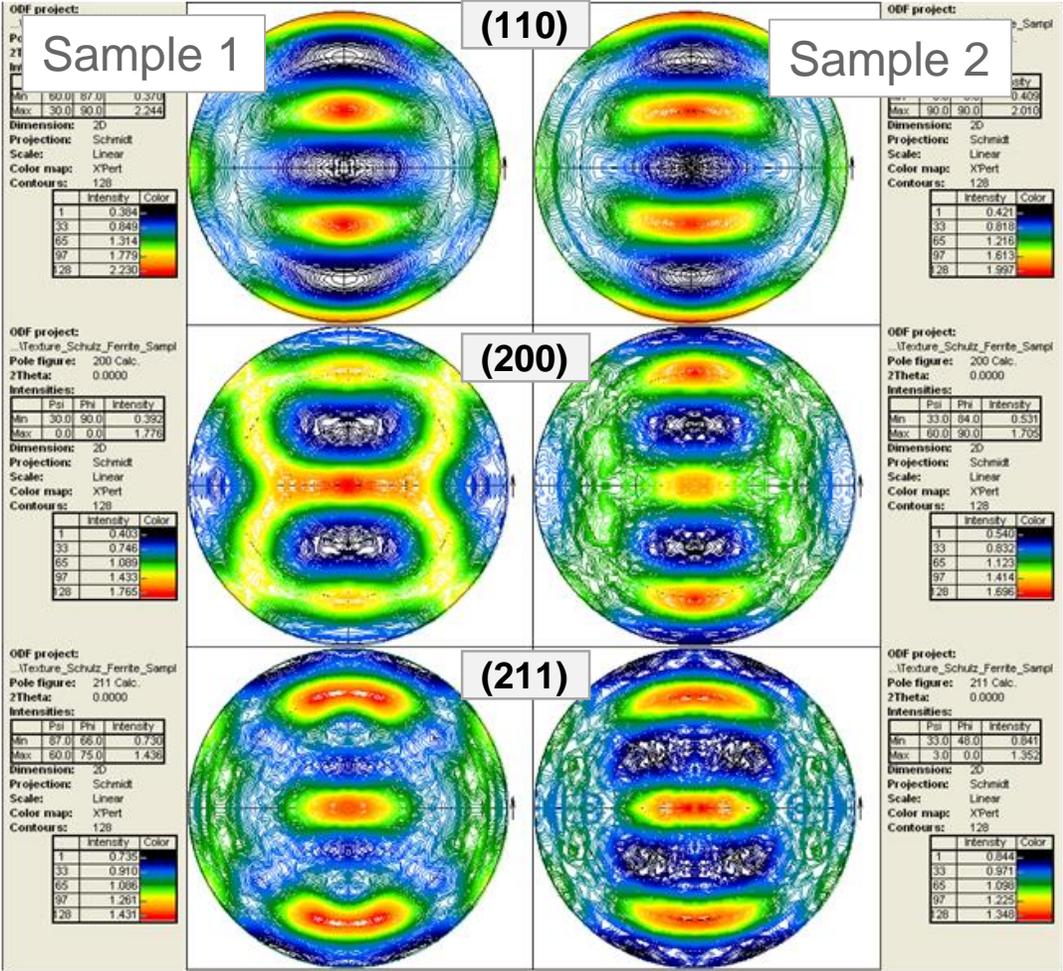


# Texture (peak intensity at different tilts)

Pole figures of the {110}, {200}, and {211} reflections of Ferrite were measured .

Very similar pole figures: rolling texture.

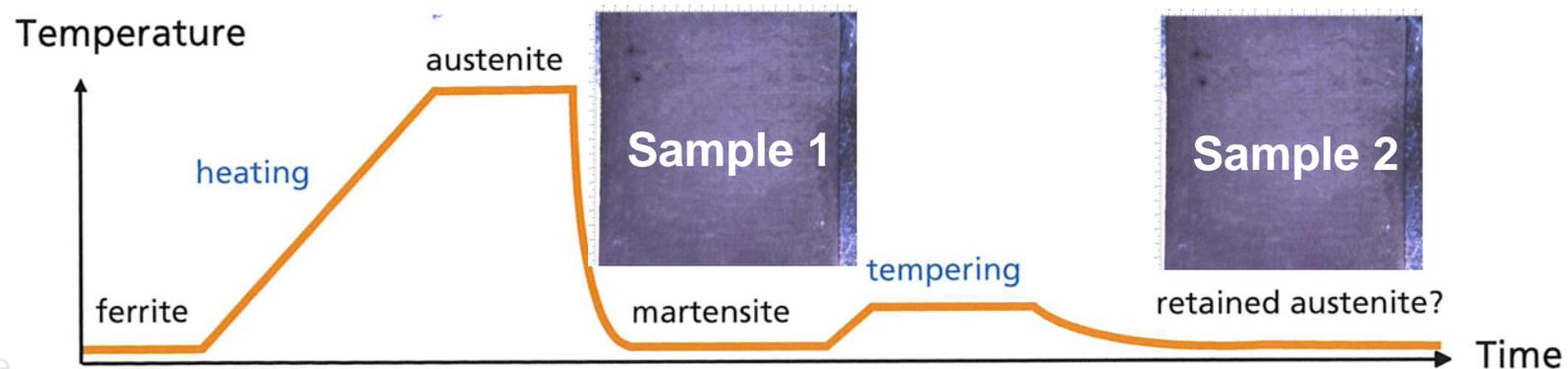
Sample-1 stronger preferential orientation (supported by the K-factors)



Sample-1		Sample-2	
{hkl}	K-factor	{hkl}	K-factor
110	0.38	110	0.40
200	<b>1.78</b>	200	<b>1.48</b>
211	1.36	211	1.29

# Results summary

	Phase composition		Crystallite size		Texture		Residual stress	
	Ferrite [wt%]	Austenite [wt%]	size [nm]	strain [%]	type	K-factors	$\sigma_{11}$ [MPa]	$\sigma_{22}$ [MPa]
Sample-1	89.9	10.1	F: 66	0.11	rolling texture	(111) 0.38 (200) 1.78 (220) 1.36	-51	0
Sample-2	99.3	0.7	F: 70	0.08	rolling texture	(111) 0.40 (200) 1.48 (220) 1.29	-34	0



# Conclusions



- Panalytical Empyrean diffractometer provide a wide range of techniques for the characterization of metals and alloys
- As a non-destructive, bulk technique XRD (vs SEM, TEM, EBSD)
- Panalytical Empyrean represents a fast and complete solution for metal analysis. phase composition, crystallinity, texture and residual stress.
- In combination with dedicated software packages most of these analysis can even be automated

The background is a solid teal color with a pattern of diagonal lines in a slightly darker shade of teal. The lines are arranged in a grid-like pattern, with some lines being longer than others, creating a sense of depth and movement. The lines are oriented at approximately a 45-degree angle.

[www.malvernpanalytical.com](http://www.malvernpanalytical.com)



## Fluorescence



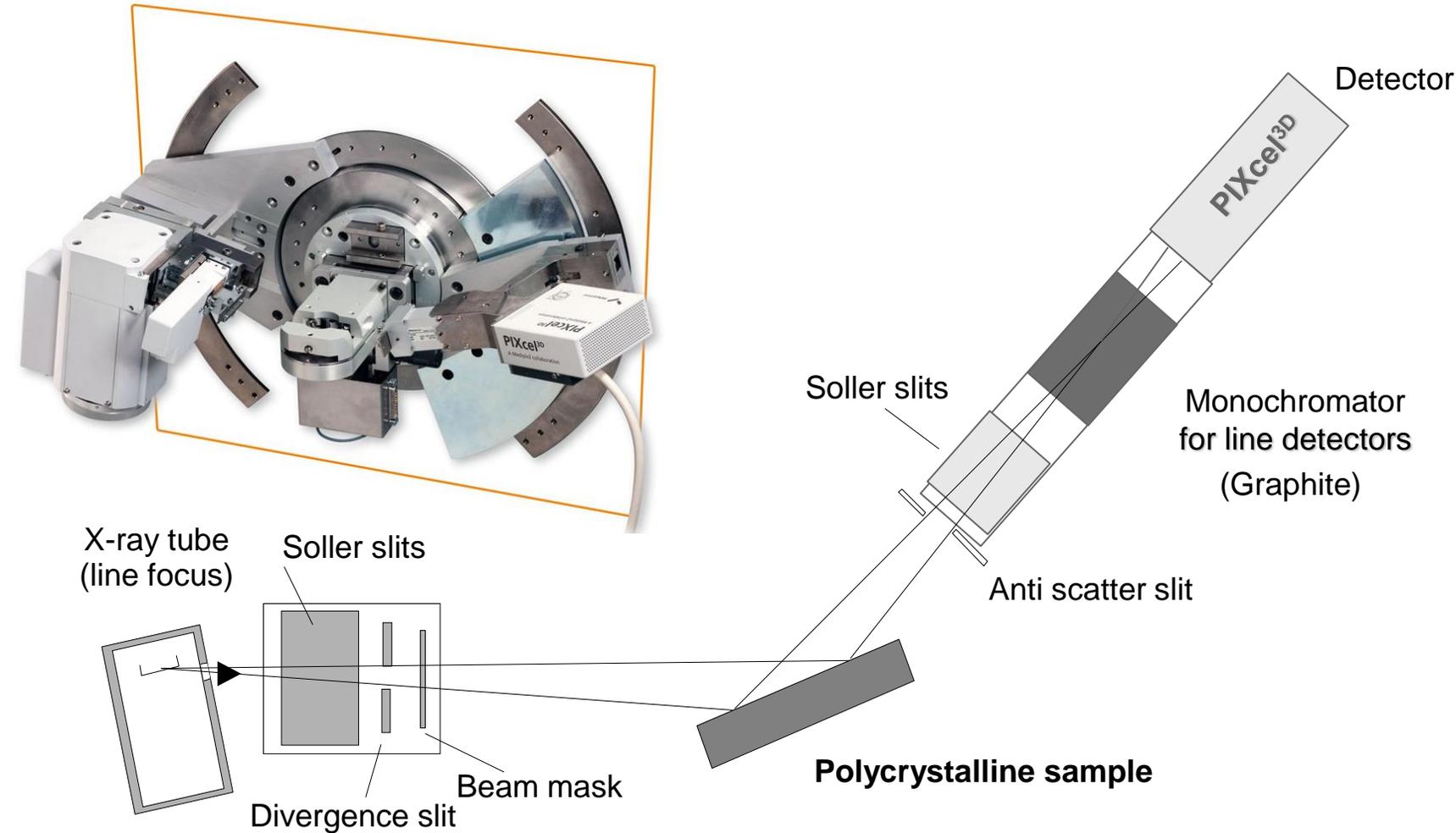
**Malvern  
Panalytical**  
a spectris company

# Sample Fluorescence

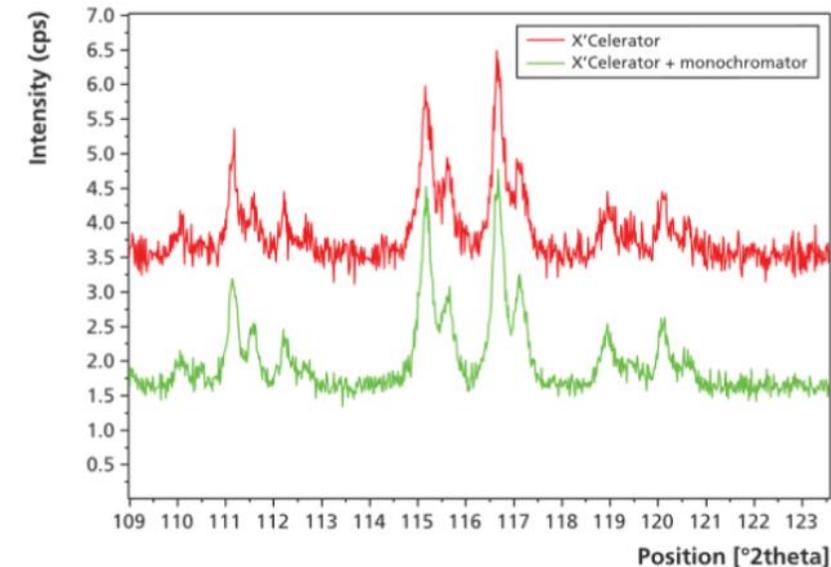


- Incident X-ray photons excite electrons in certain elements in the sample, which results in the emission of the characteristic X-ray radiation of the respective elements.
- If this emitted radiation is close to the  $\text{Cu K}\alpha$ , it will be detected!
- During this process the incoming photon is absorbed. This process results in a significant reduction of the penetration depth in fluorescent samples.
- This radiation will not effect peak position or intensity; it will only increase the background.

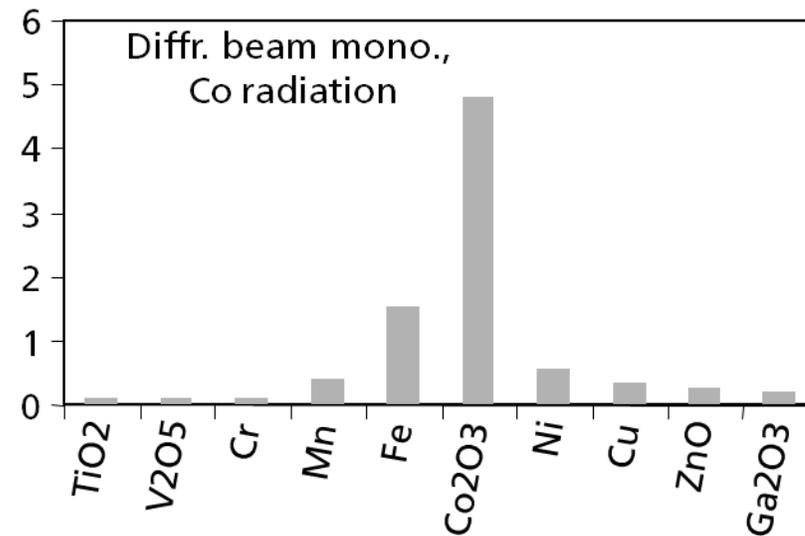
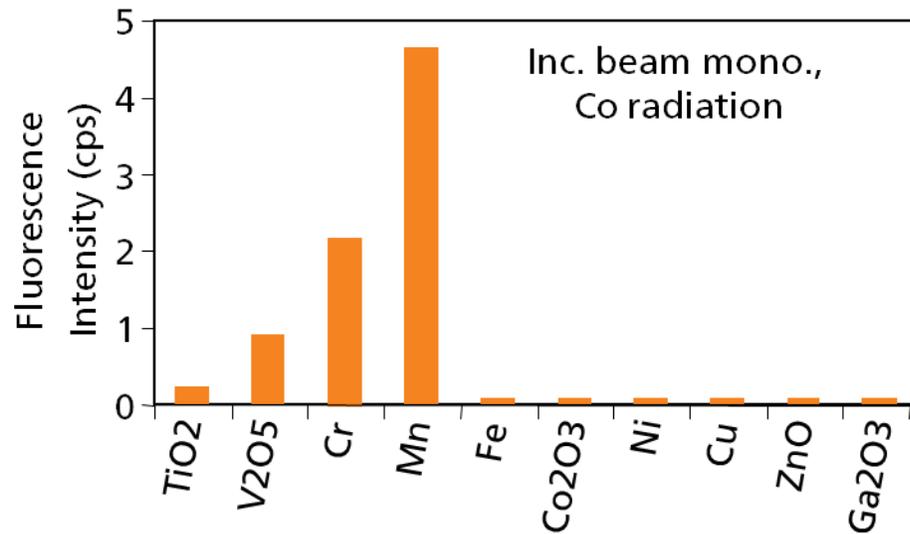
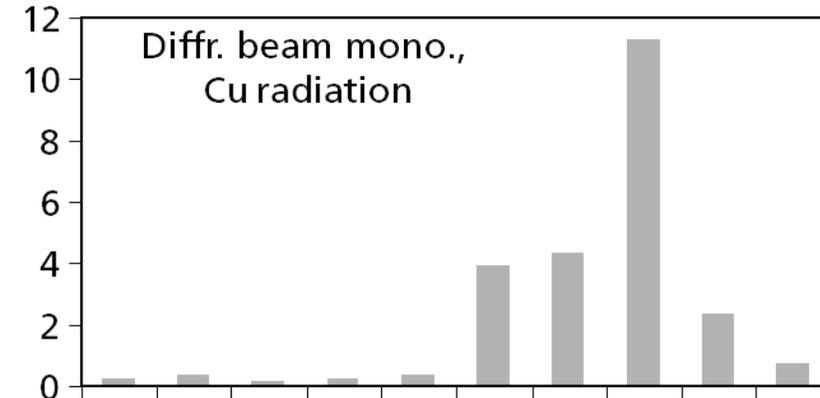
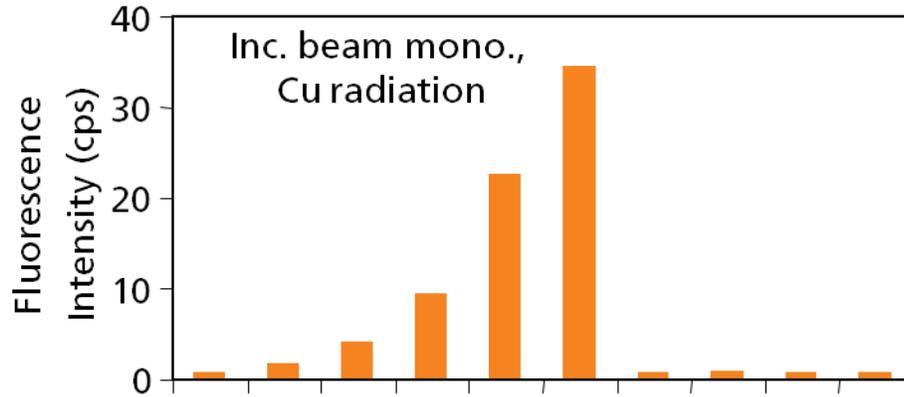
# Diffracted Beam Monochromator for line detectors



Cathode material for Li battery:  
 $\text{LiNi}_{0.33}\text{Co}_{0.33}\text{Mn}_{0.33}\text{O}_2$

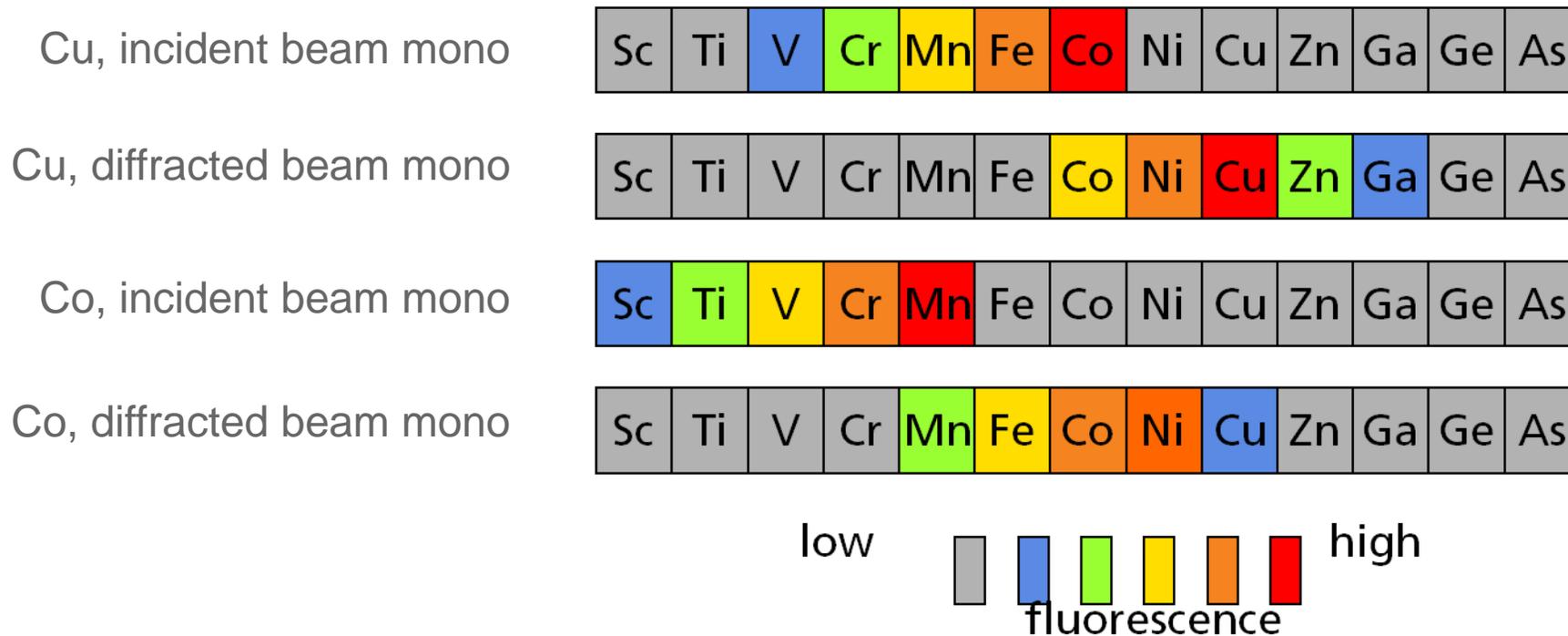


# Effect of Monochromators on Fluorescent Intensity

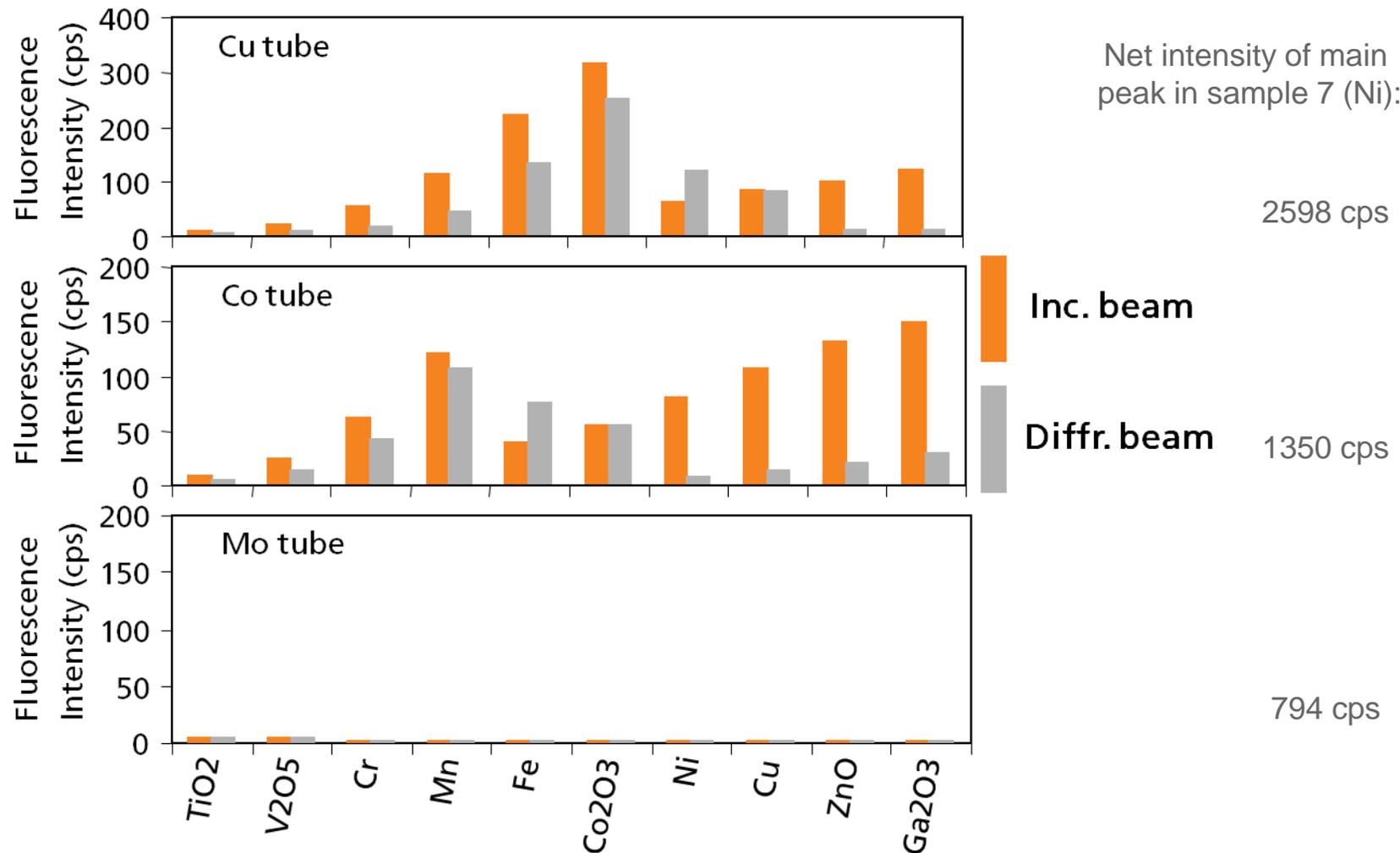


# Rules of Thumb - Monochromators

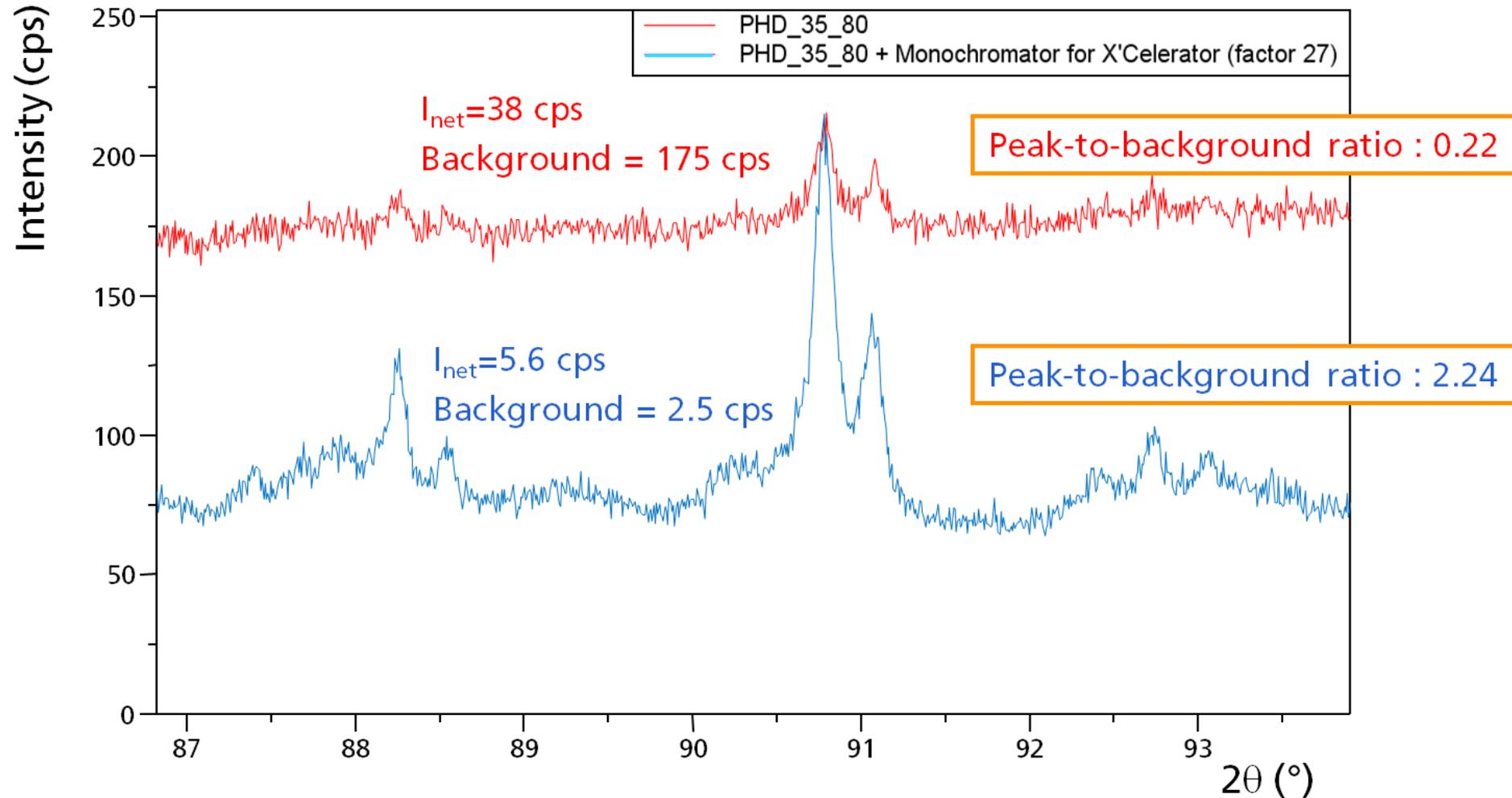
Monochromators help to reduce the range of problematic elements



# Effect of $\beta$ -filters on fluorescent Intensity



# Effect of Monochromator



# Rules of Thumb – X-ray Sources



- For not too complex patterns, Mo radiation is a valuable alternative
- When going from Cu to Co radiation:
  - *The peak intensities drop by a factor of about 3*
  - *Exception Fe and Co samples: there the intensity rises by a factor of 3!*
- Effect of varying penetration depth when absorption edges of elements in the sample are close to the characteristic radiation



Extra slides

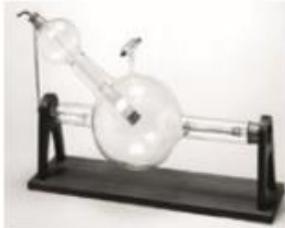


**Malvern  
Panalytical**  
a spectris company

# Building X-Rays tube is in our blood



Philips starts to repair and manufacture X-ray tubes in Eindhoven.



1917

Philips Analytical introduces the pioneering sealed, water-cooled X-ray diffraction tube. This technological innovation quickly established the industrial standards for spectral purity, intensity and tube life.



1953

The successor to the XRD-C is introduced. The X'Pert tube adds a number of user benefits unique in the market. Specially designed for PANalytical's successful X'Pert PRO, CubiX PRO and CubiX FAST diffractometers, the X'Pert tube fulfils the most demanding X-ray diffraction applications.



2006

1924

Philips develops the Metalix X-ray tube, the world's first X-ray tube with radiation protection.



1993

Philips Analytical launches the next significant innovation, a compact metal-ceramic X-ray diffraction tube (XRD-C). This was the first metal-ceramic X-ray diffraction tube in the world. It combined the features of its glass counterpart with the advantages of metal-ceramic technology: high alignment accuracy and improved thermal stability.



2009

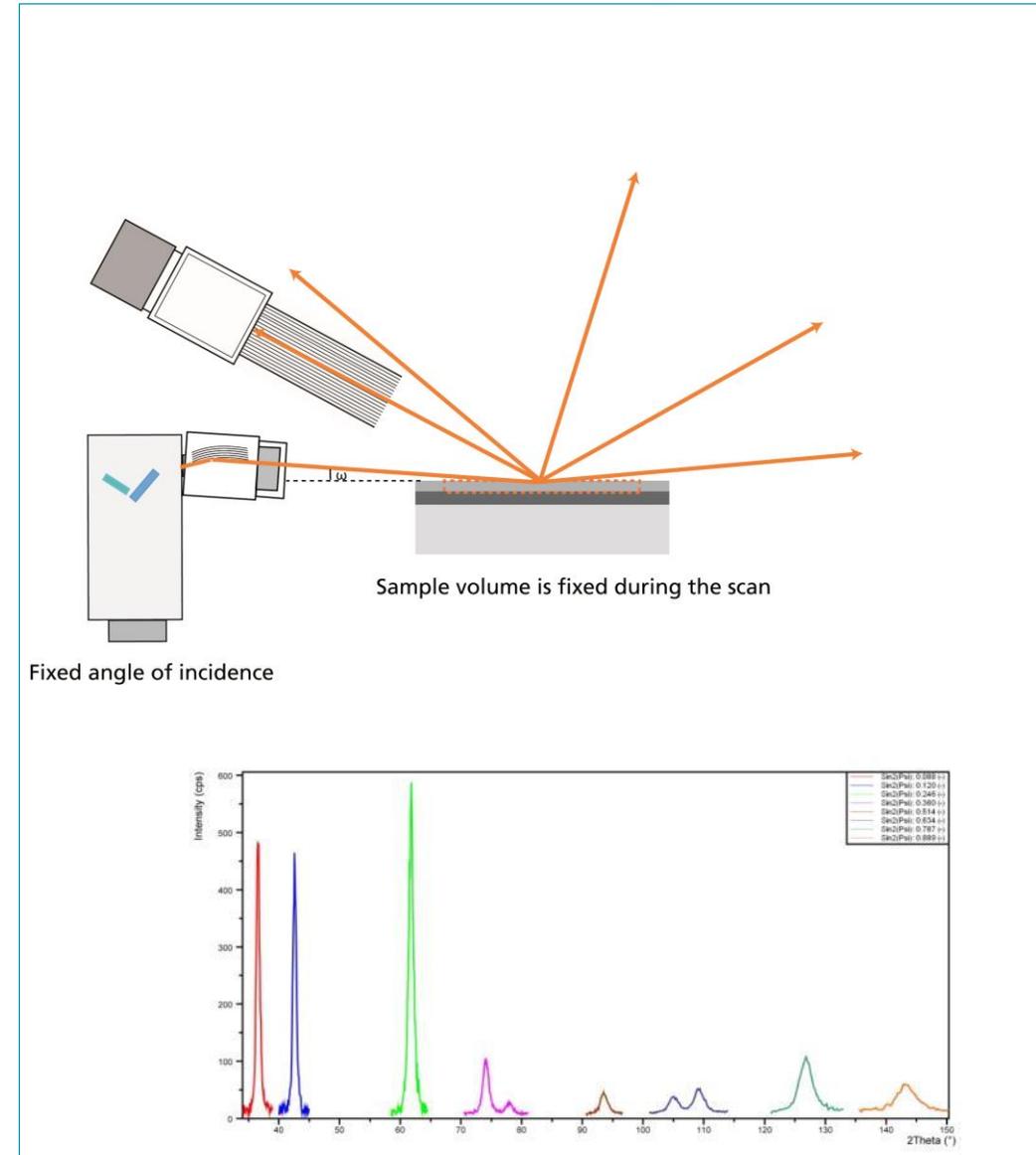
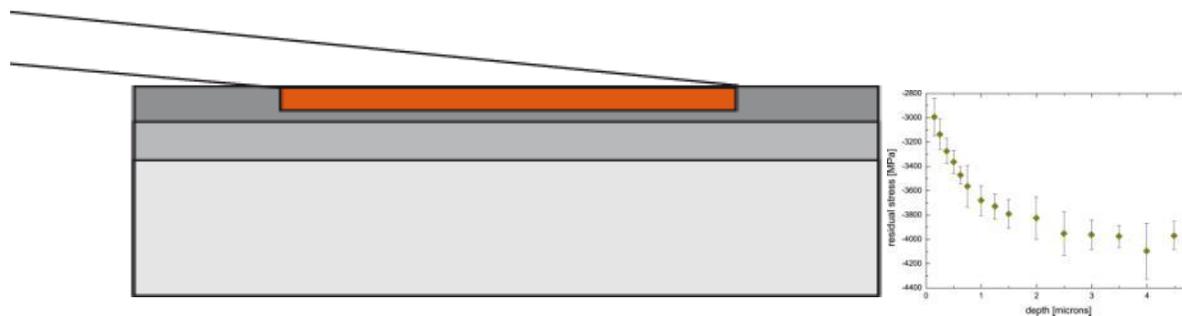
Building on the success of the X'Pert tube, the Empeyrean Tube is the second generation of its type. It sets a new and clearly recognizable standard of industrial design, expressing PANalytical's quality and integrating form and function.



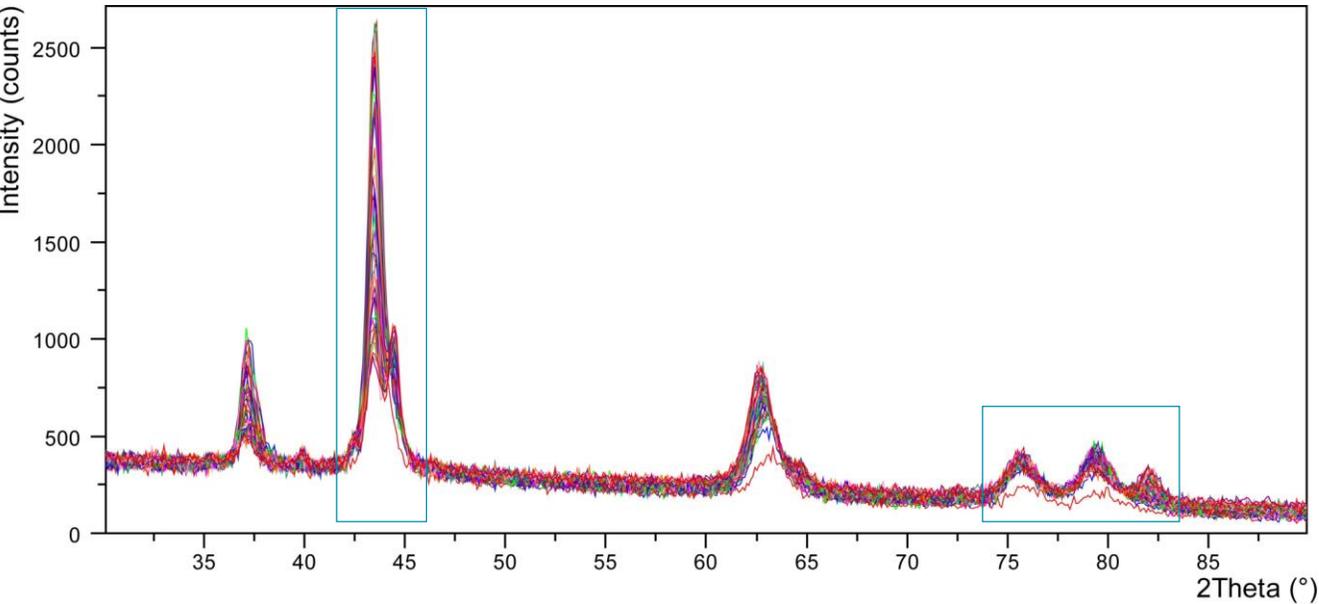
# Grazing incidence (GI) XRD

- GI-XRD is based on the relationship between the angle of incidence ( $\omega$ ) and the penetration depth of the X-ray beam
- Penetration depth can be controlled
- Constant volume during one measurement
- Sensitive to compositional variations and stress gradients within bulk materials, single layers and layer sequences
- **Preferred approach for the analysis of thin layers and coatings**

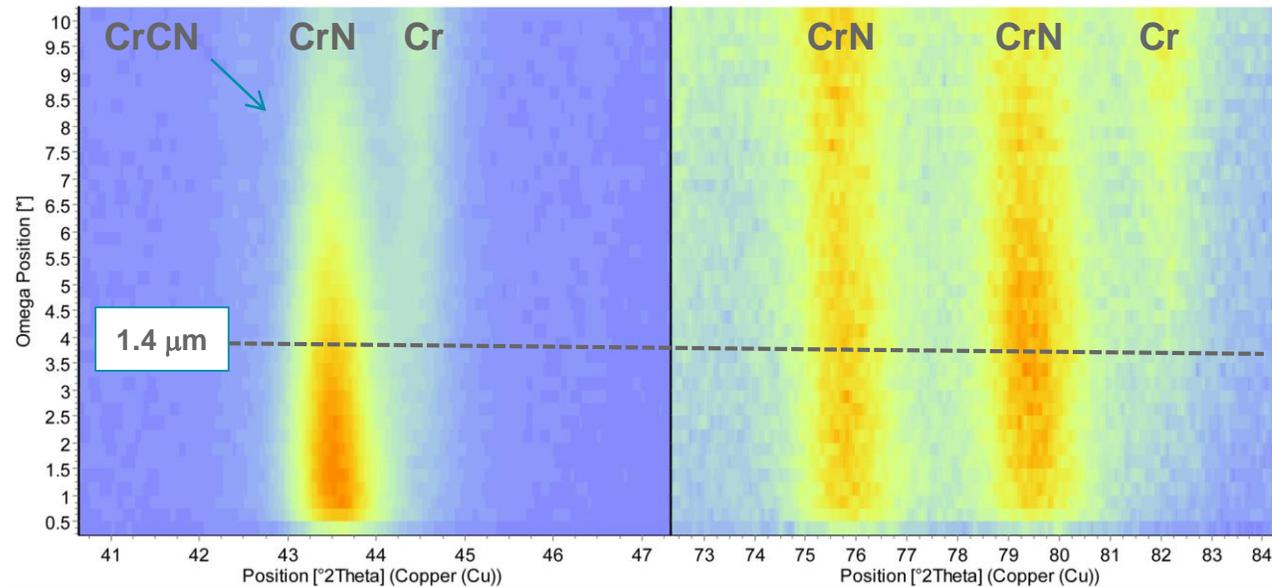
## Analyzed volume



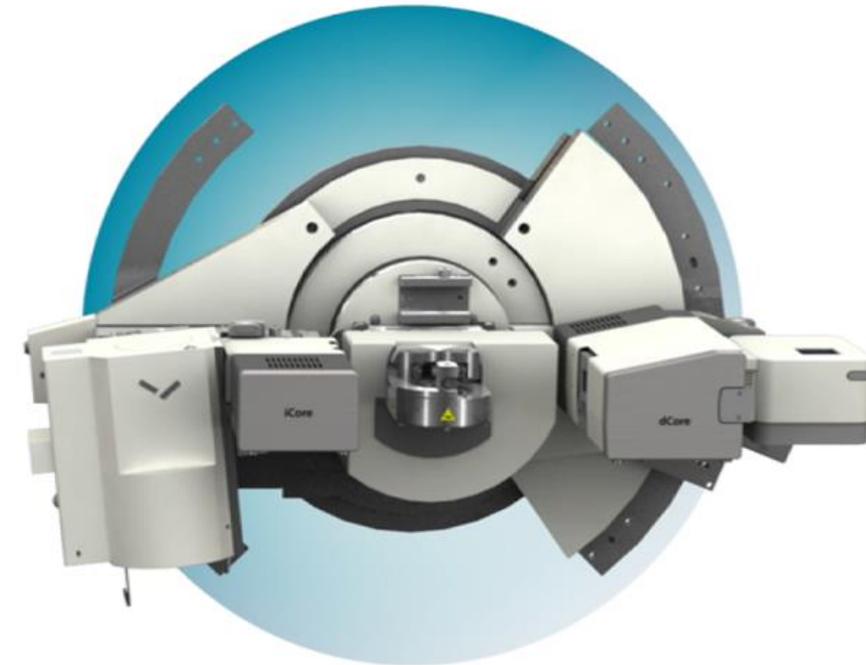
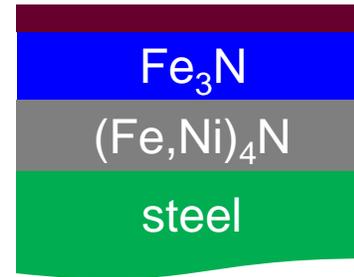
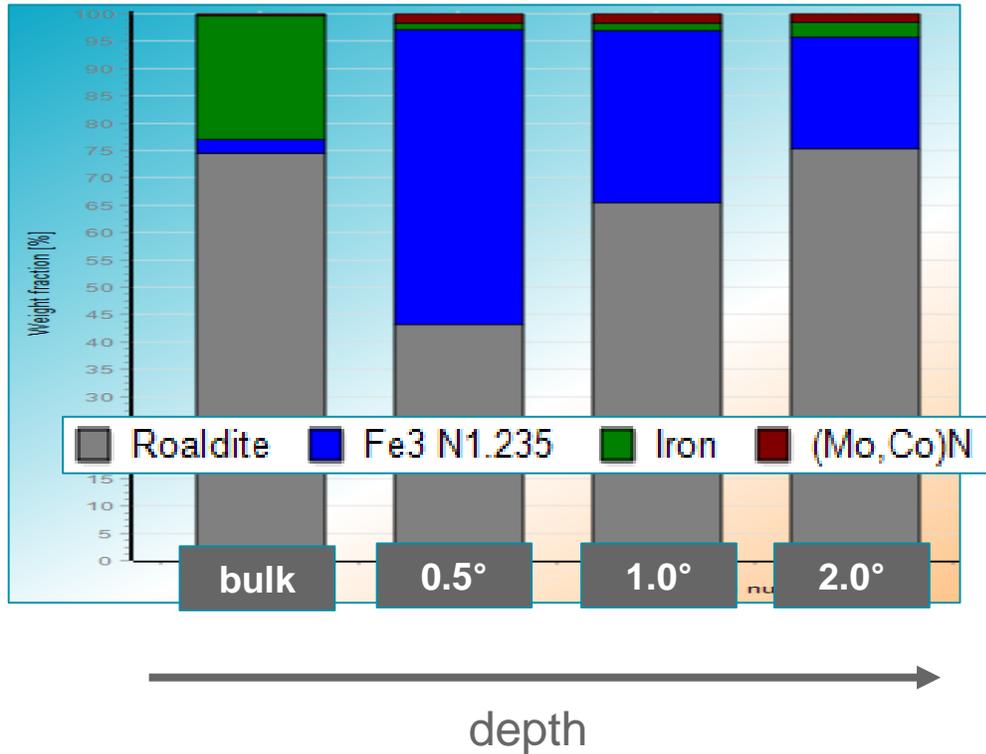
# Grazing incidence (GI) XRD – phase profiling



- Using the GI approach phase variations in phase composition can be mapped with increasing depth.
- For that full 2θ scans at different ω-angles are collected
- The example shows a CrN layer that on a Cr-CrCN layer with a transition at 1.4 μm.



# Empyrean with multiCore optics



**Phase composition**

# Tabletop or floor-standing diffractometer?



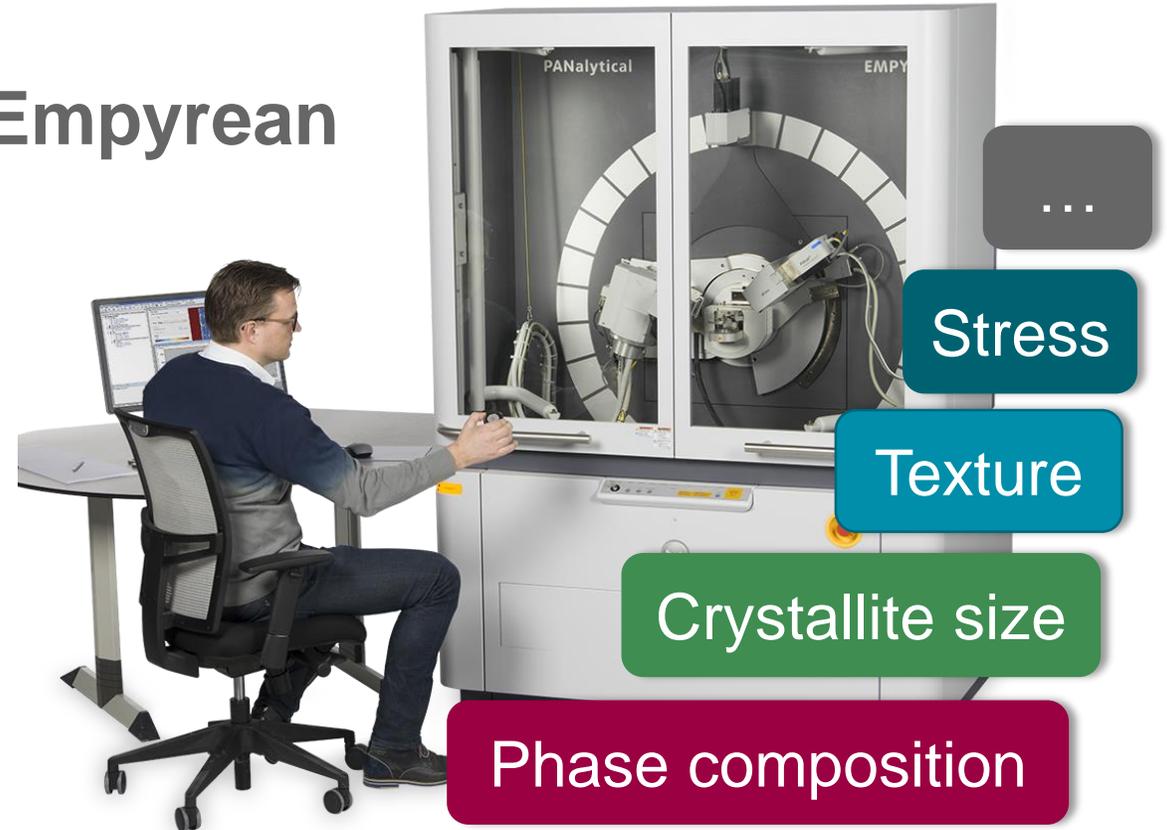
Crystallite size

Phase composition

**Aeris**

or

**Empyrean**



Stress

Texture

Crystallite size

Phase composition



# The Aeris Benchtop XRD

Ease of use – crucial in industry



Step 1 –  
Place your  
sample



Step 2 –  
Choose  
measurement  
program



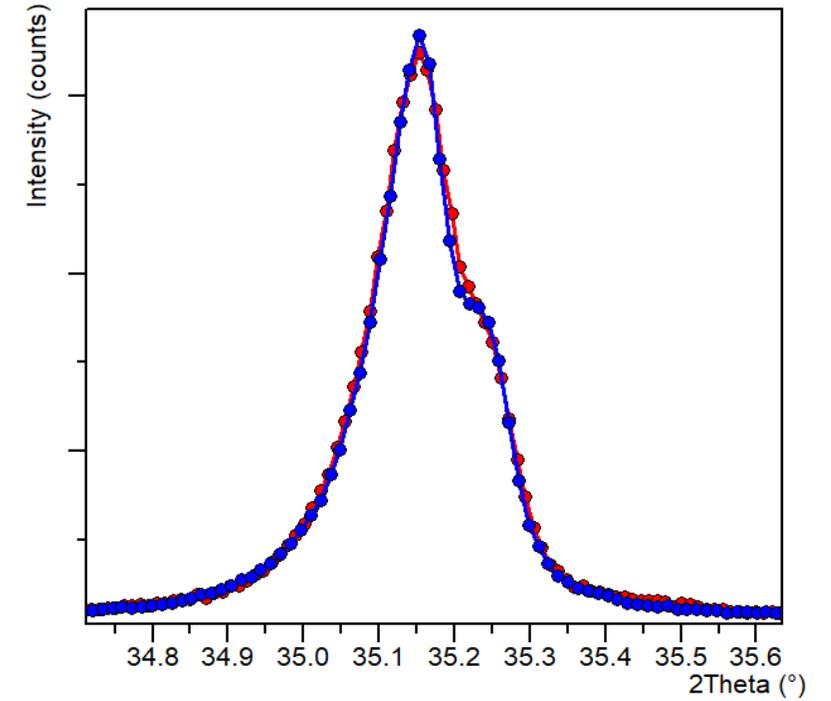
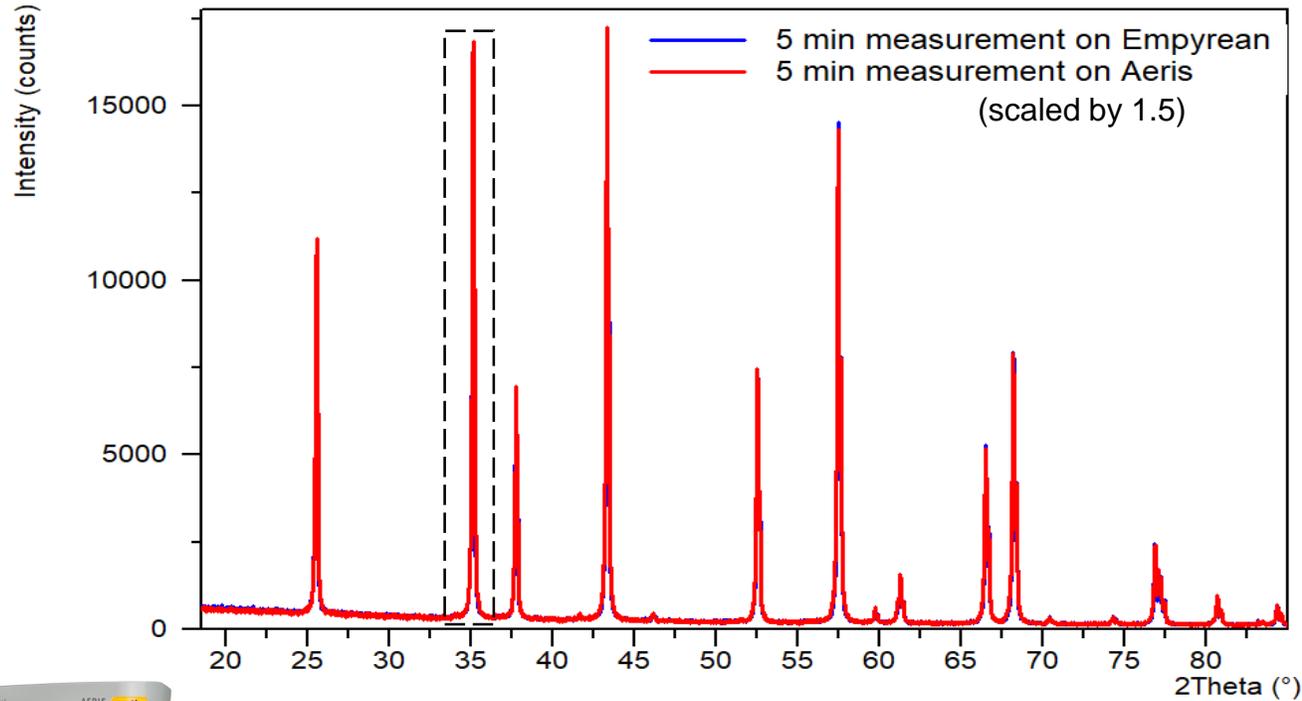
Step 3 –  
Enter  
sample  
information



Step 4 –  
Results

# Bridging the gap to full-power lab diffractometer

NIST Al<sub>2</sub>O<sub>3</sub>

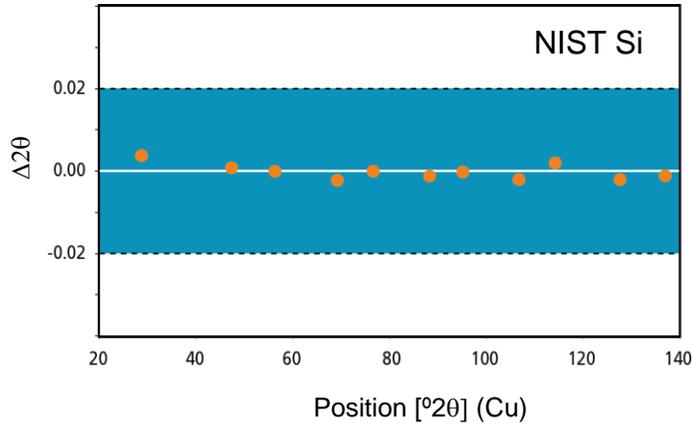


Average analyses time  
is **5 - 10 minutes per sample**

# Bridging the gap to full-power lab diffractometer

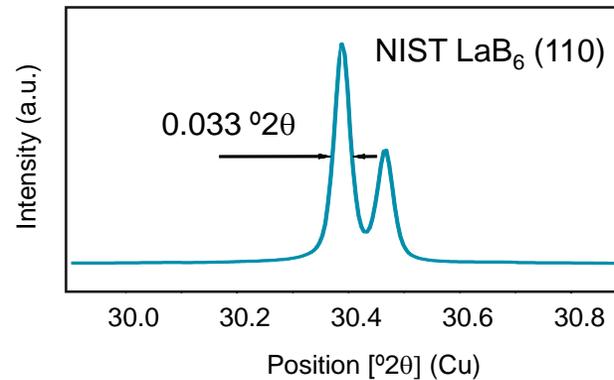


## Linearity

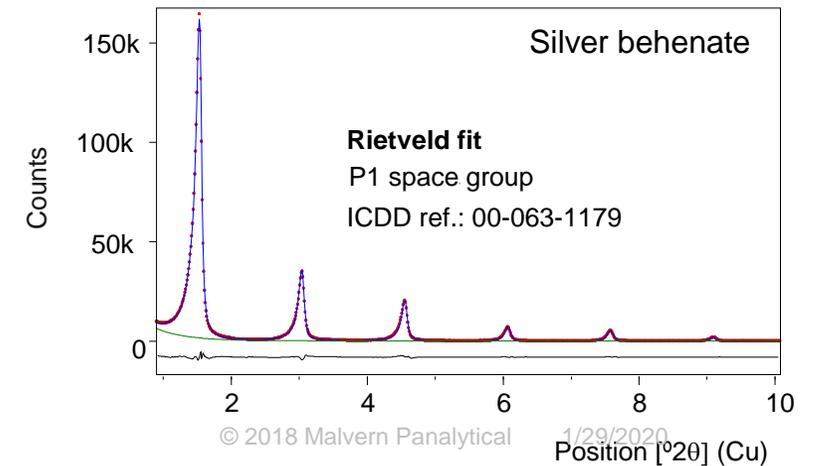


Aeris delivers  
**best-in-class data quality**

## Resolution



## Low angle performance



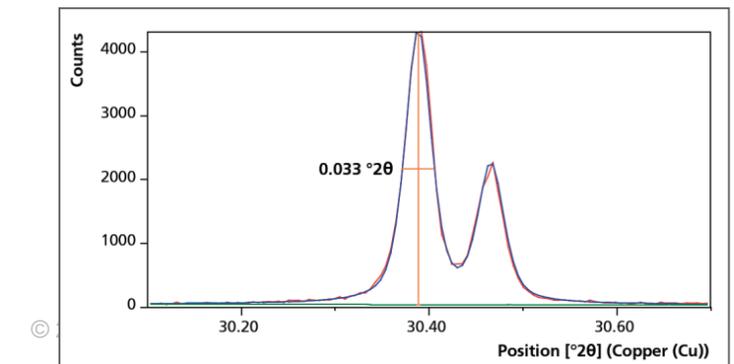
Solutions for automotive

© 2018 Malvern Panalytical

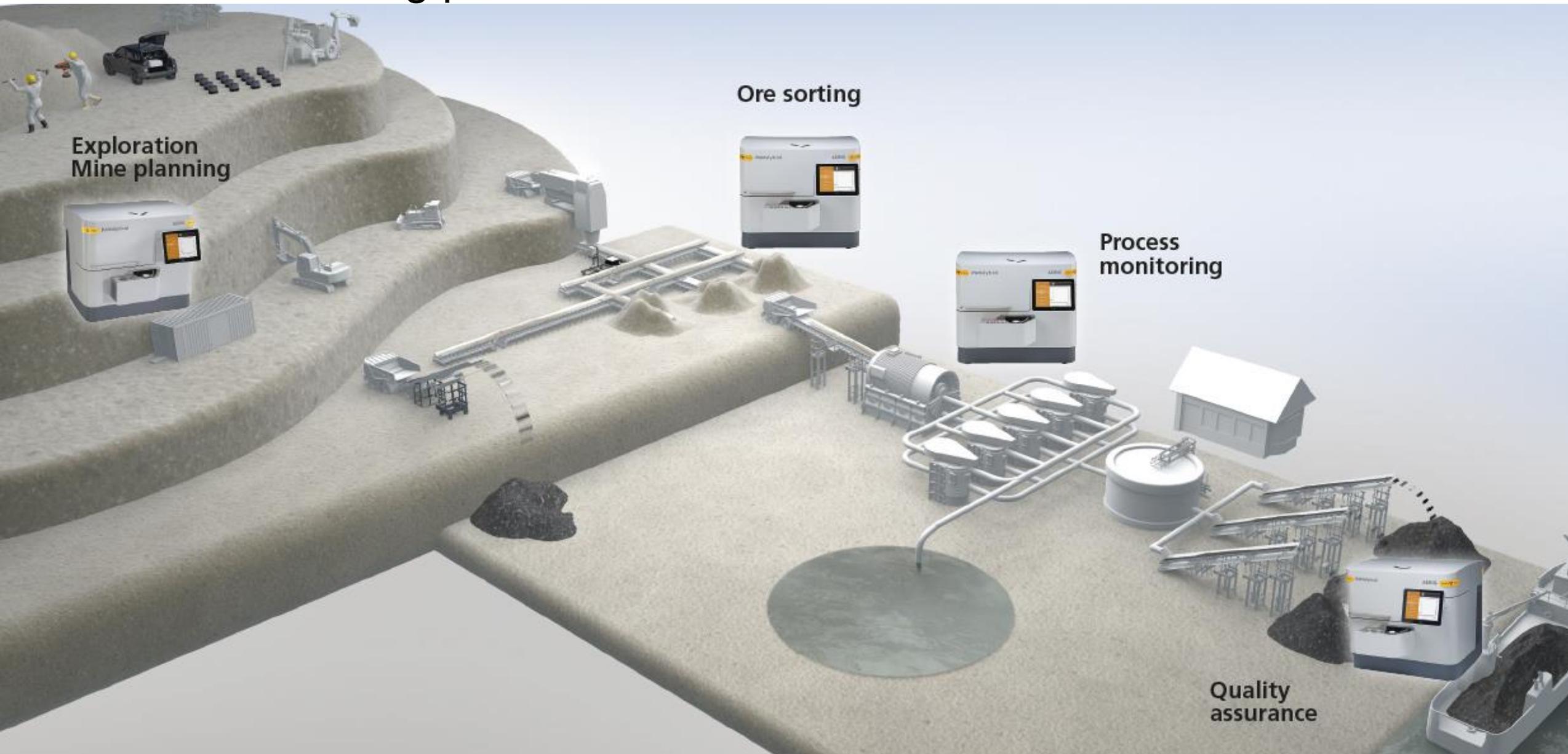
1/29/2020

# Conclusions

- **Aeris is...**
  - ...**very intuitive in its use**
  - ...**flexible wherever in your process you need it**
  - ...**automatable**
- **Aeris has...**
  - ...**a low cost of ownership**
    - Lifetime tube
    - Limited infrastructural needs
    - No chiller
    - No gases
    - Small footprint
  - ... **a performance comparable to a full size XRD**
    - Speed / intensity
    - Resolution
    - Linearity



# XRD in the mining process



# XRD in metal production





## The new Empyrean

### The intelligent diffractometer

- New MultiCore Optics
  - Fully automated X-ray optics
  - Swap between many applications
  - Increase sample throughput
  - Optimize resolution and intensity automatically
- Improved ease of use
  - Component recognition
  - Predefined programs
  - Smart PreFIX concept

# Select proper instrument for the task - Empyrean



## Multi-purpose instrument

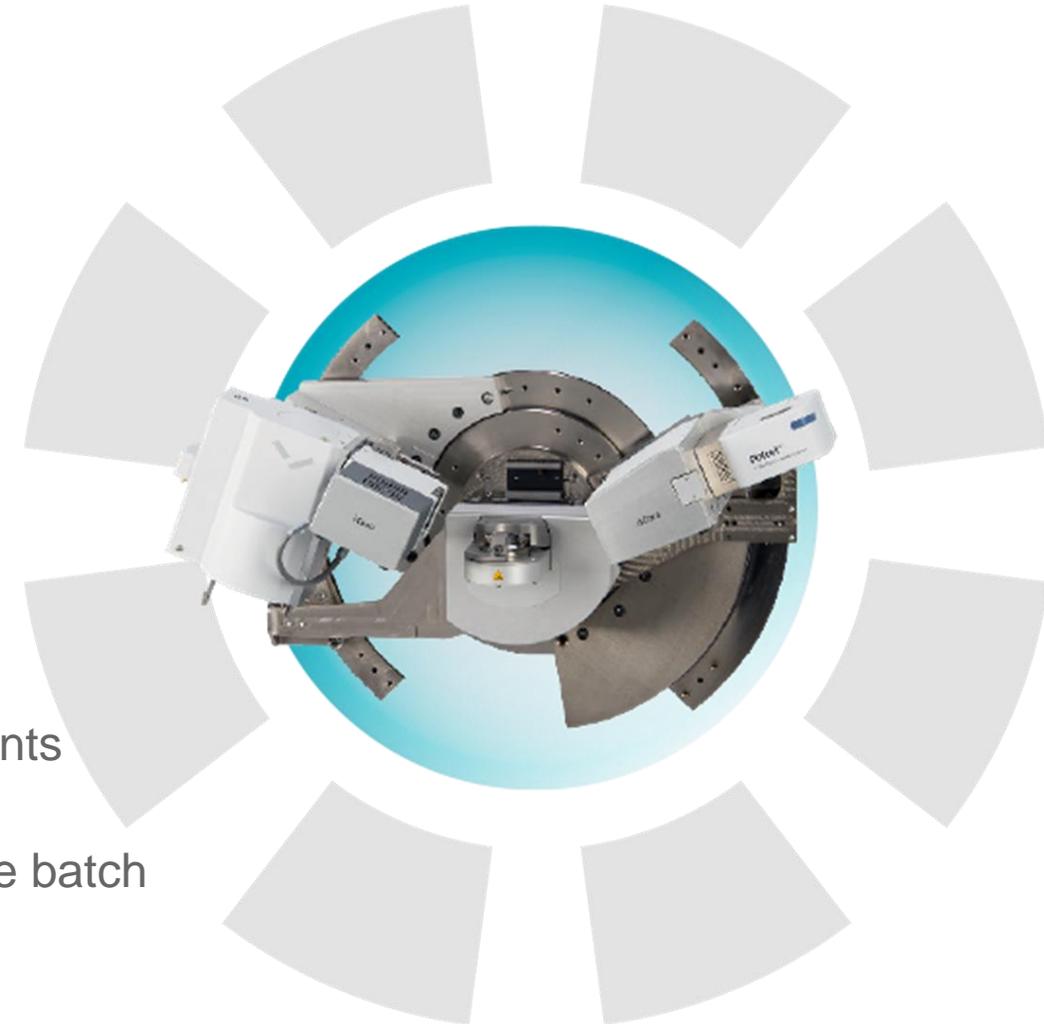
- Powder XRD
- Basic SAXS
- Omega stress
- Omega texture
- GIXRD
- Reflectometry



- in situ* XRD
- Advanced SAXS
- Comp. tomography
- Advanced thin film metrology
- PDF
- Hard radiation
- Advanced stress/texture

# The Empyrean Series III – MultiCore Optics

## iCore and dCore



### Incident (iCore) & Diffracted (dCore) beam modules

- perform the largest variety of X-ray diffraction measurements automatically, with excellent data quality
- Seamless combination of different experiment types in one batch
- maximize utilization of the system

# MultiCore Optics

## iCore and dCore

Phase ID and Quantification

X-ray Reflectometry

Residual Stress

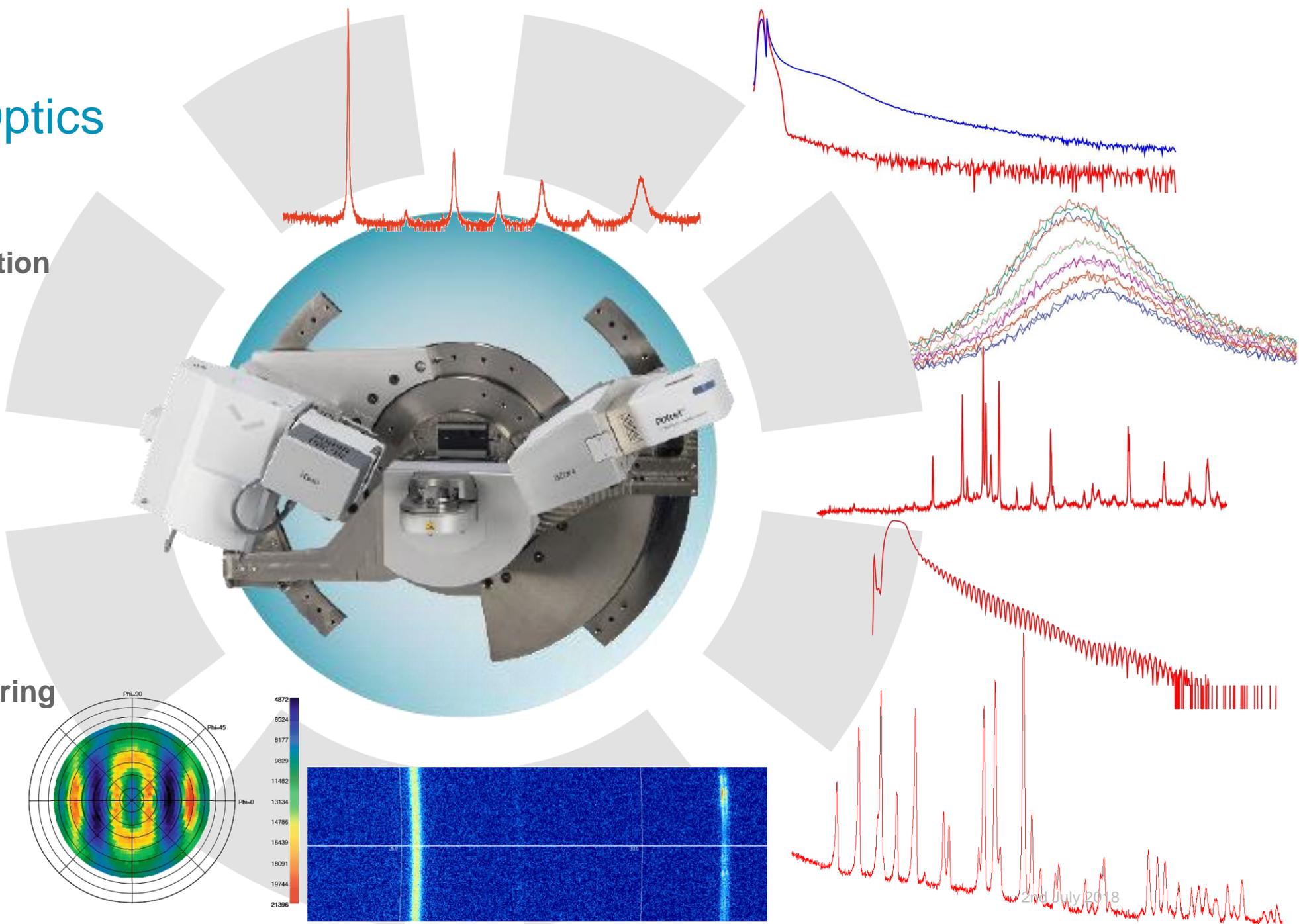
2D XRD

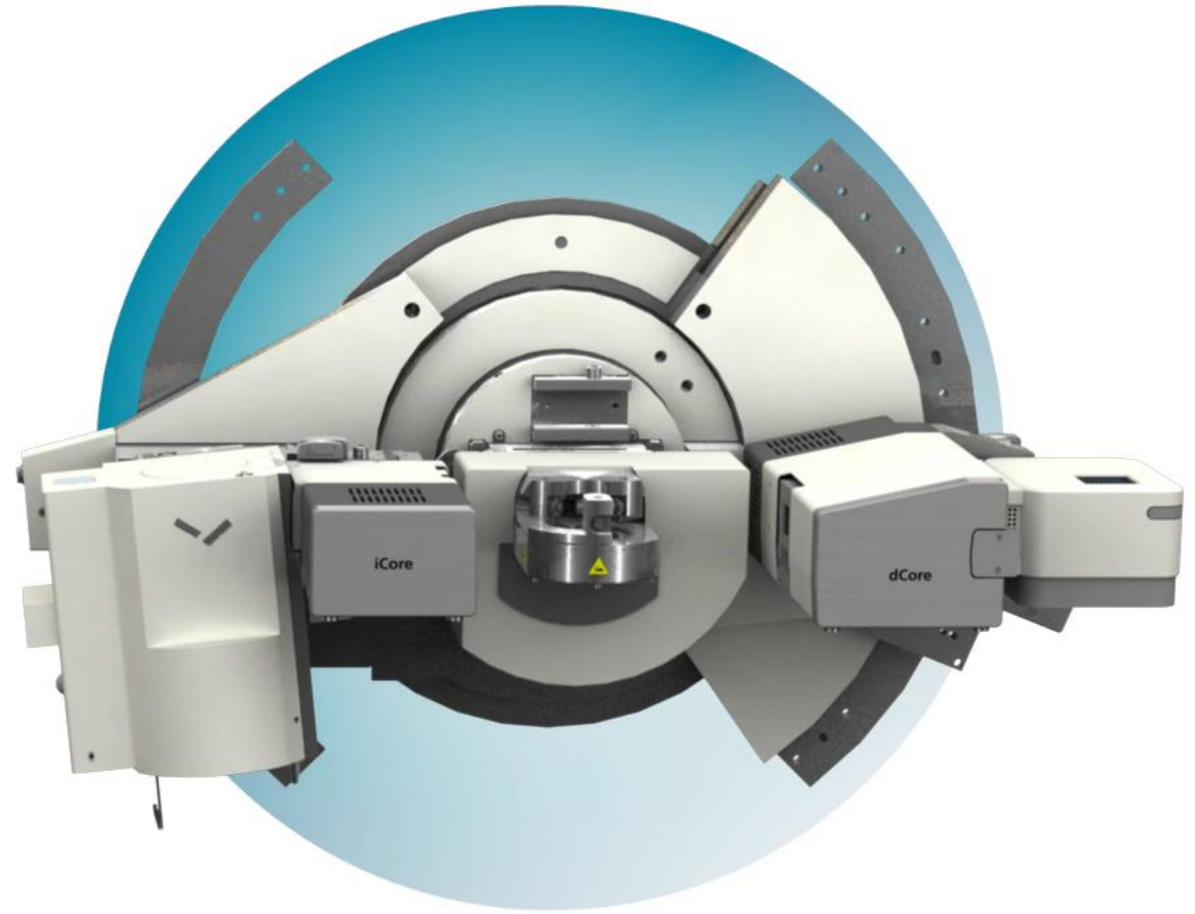
Transmission XRD

Pole figures

Small-angle X-ray scattering

Grazing Incidence XRD

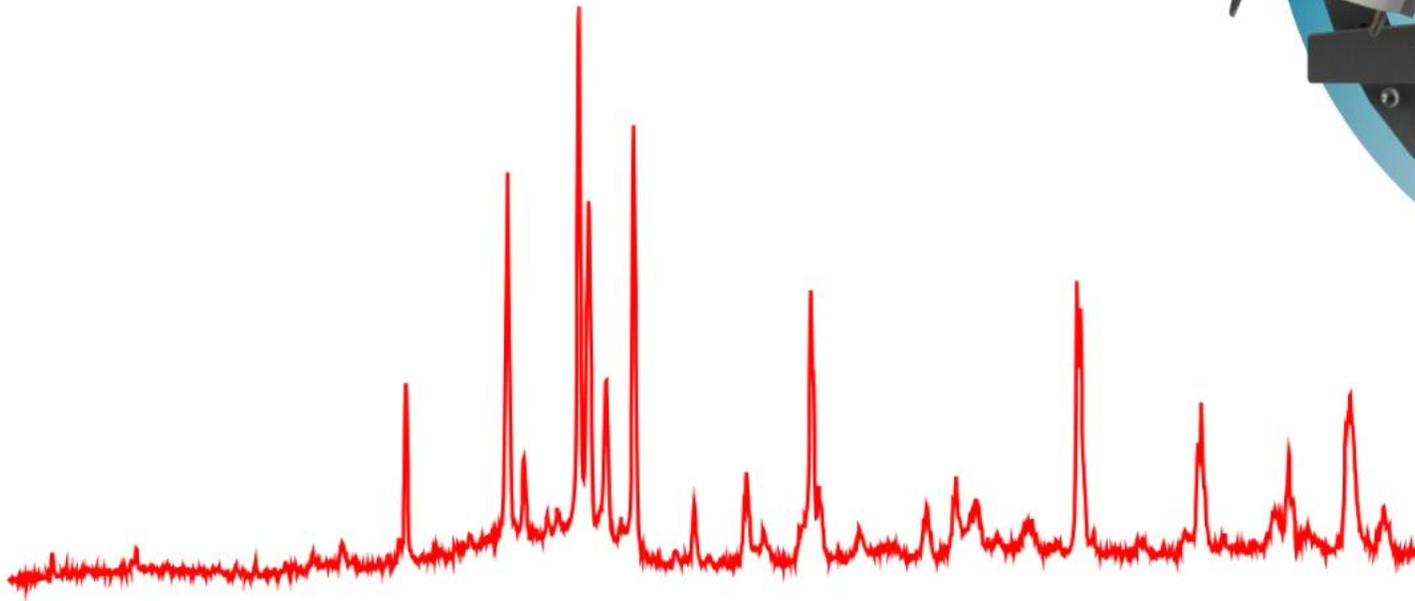
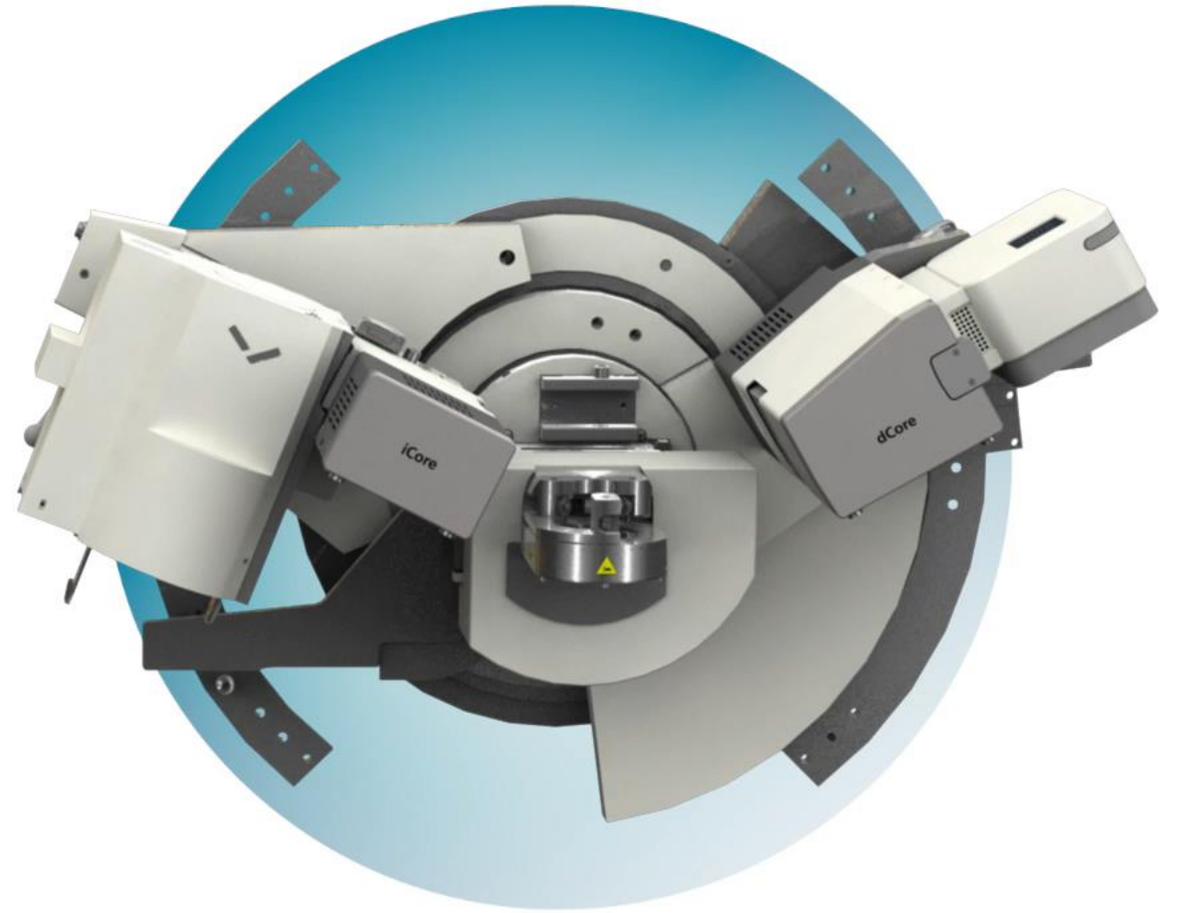




# MultiCore Optics

iCore and dCore

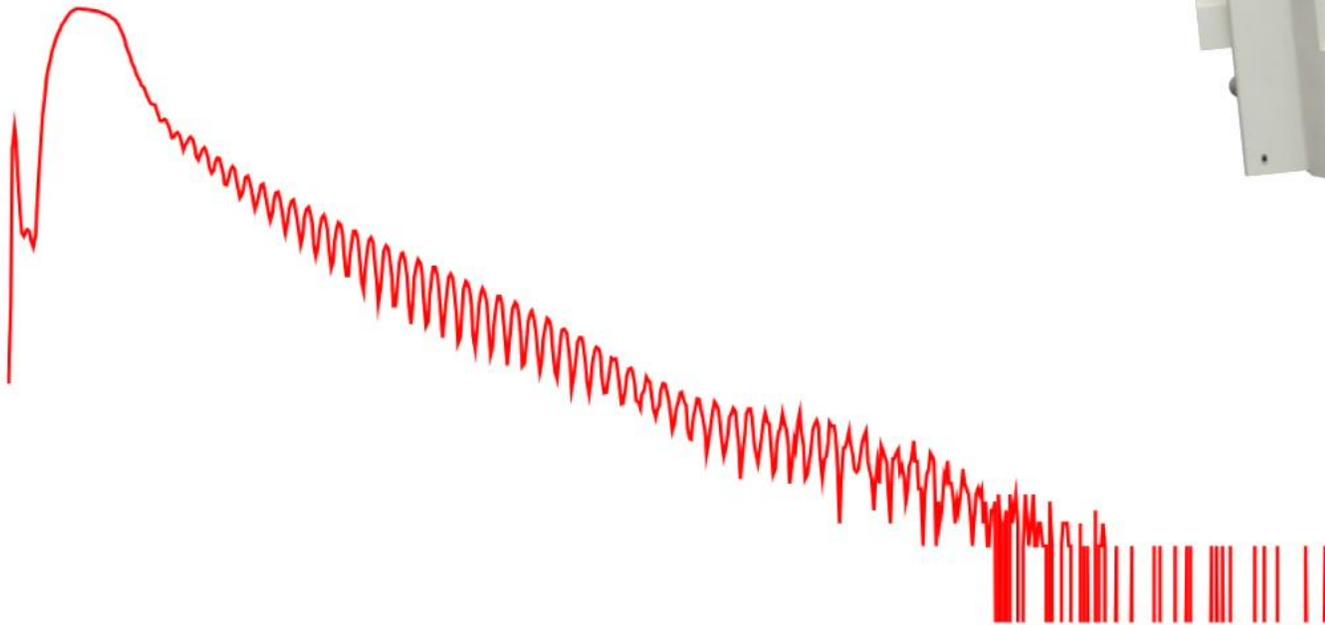
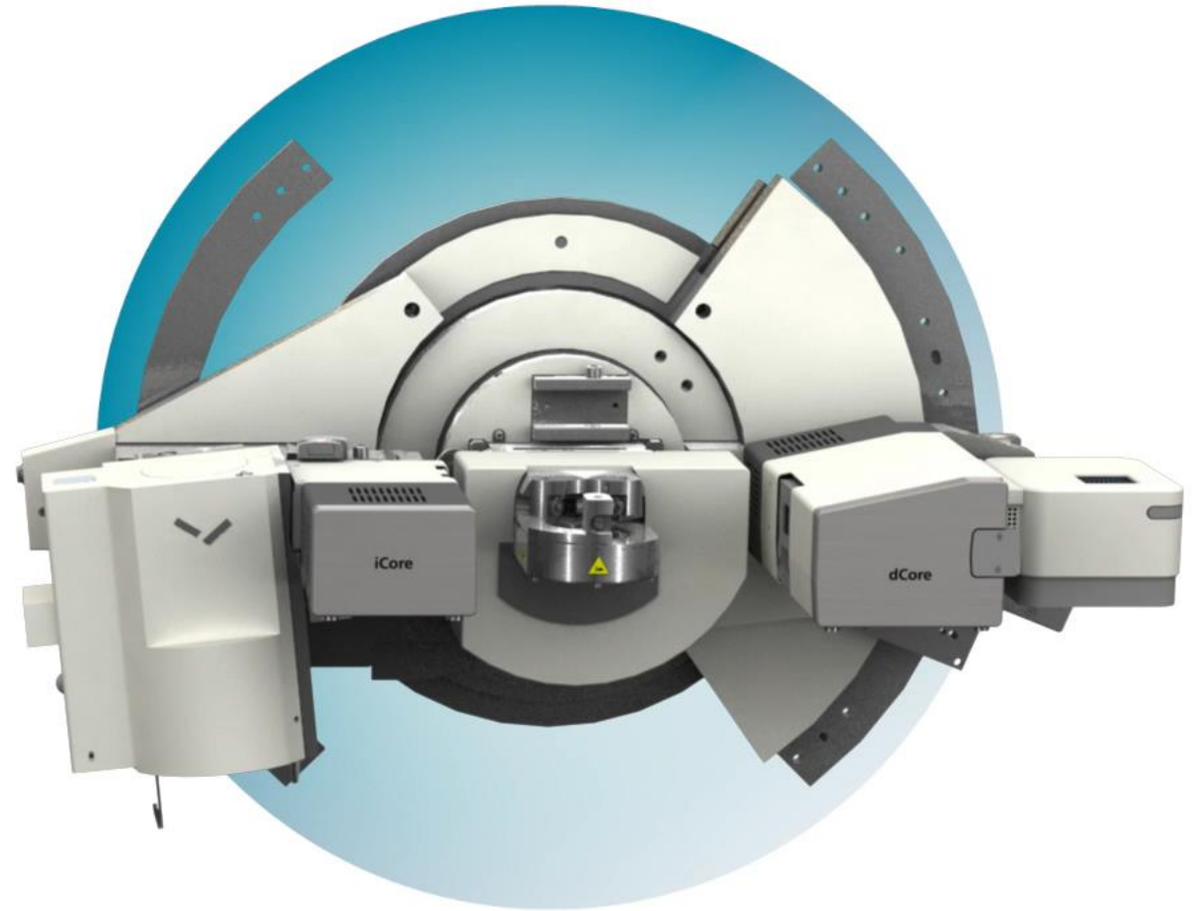
## Phase ID and Quantification



# MultiCore Optics

iCore and dCore

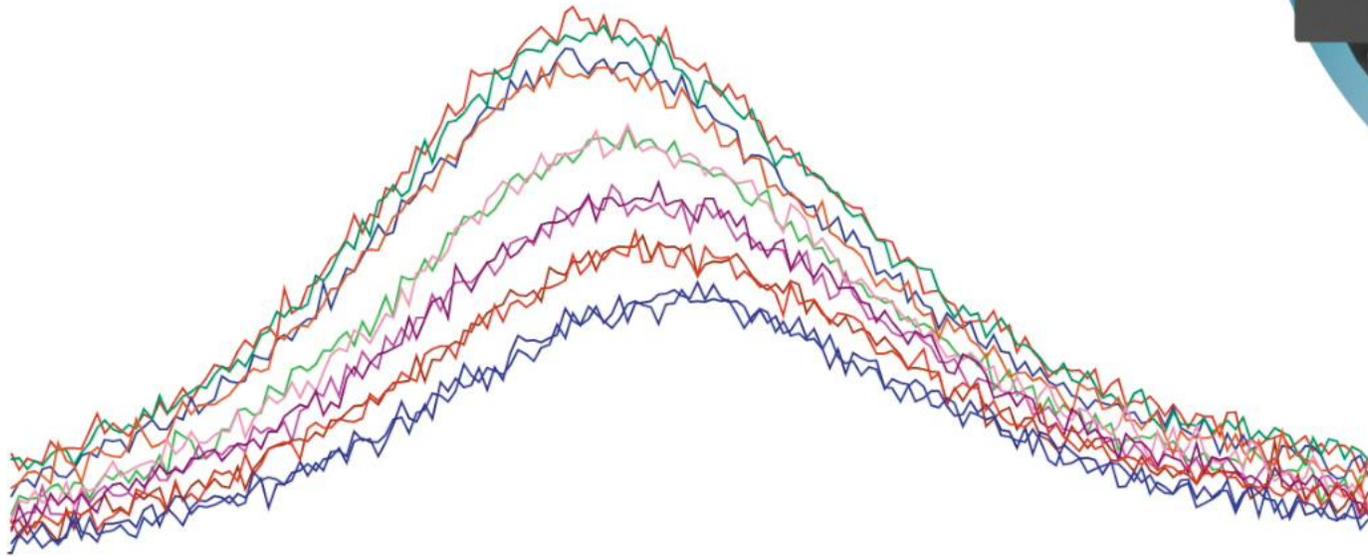
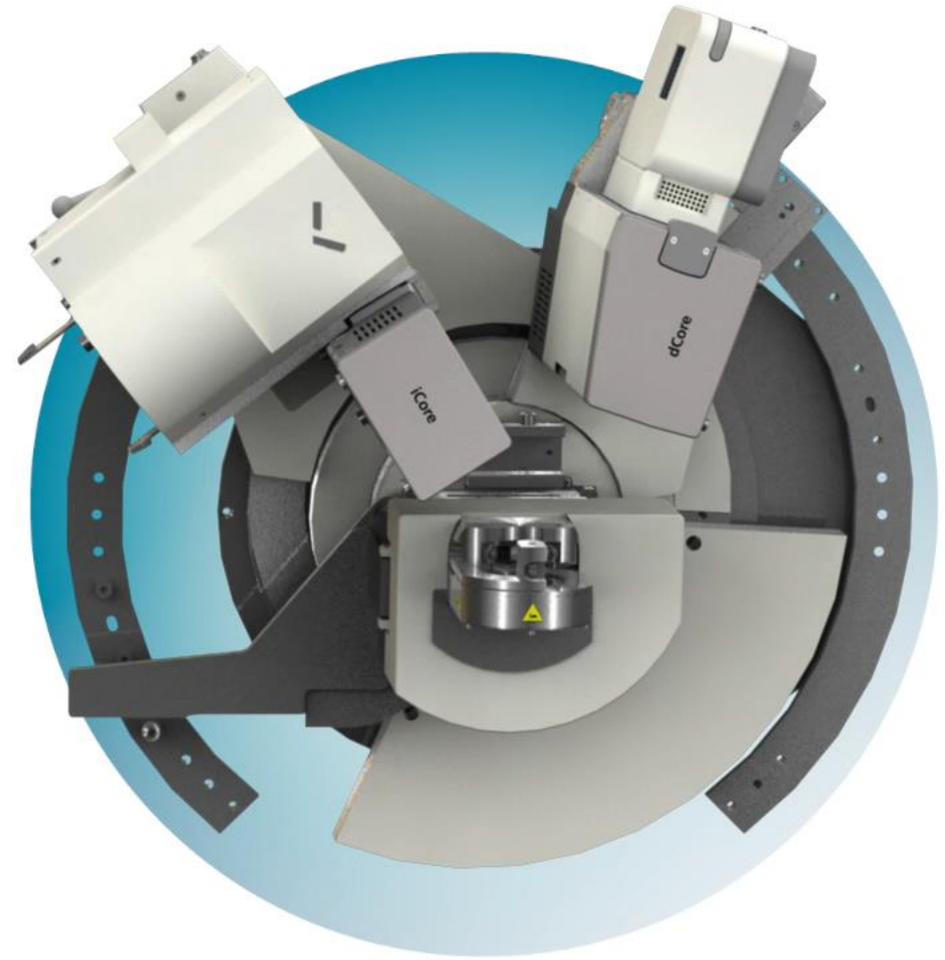
## X-Ray Reflectometry



# MultiCore Optics

iCore and dCore

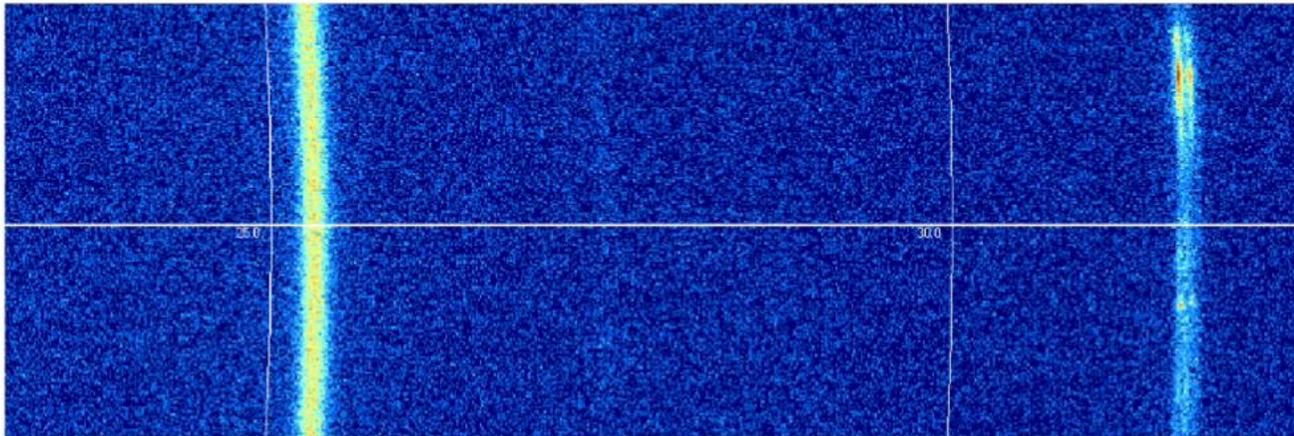
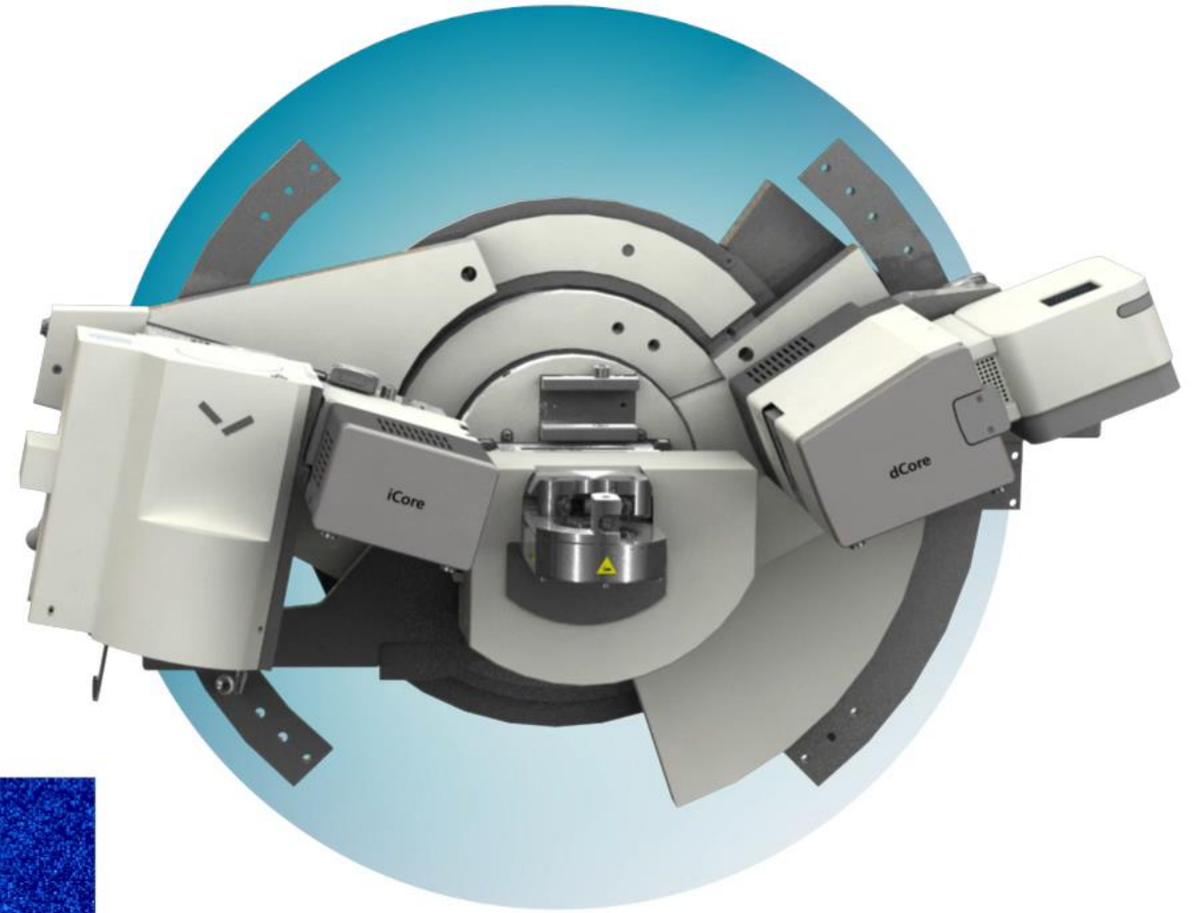
## Residual Stress



# MultiCore Optics

iCore and dCore

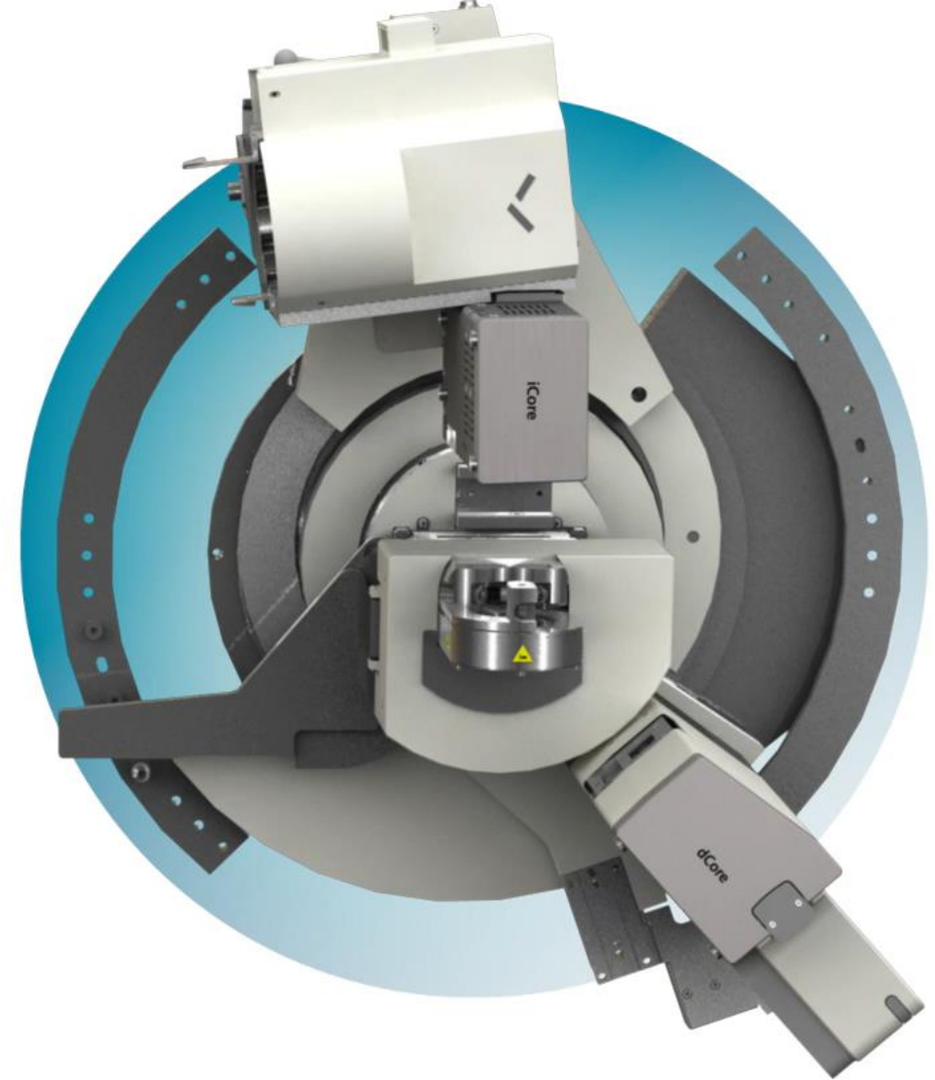
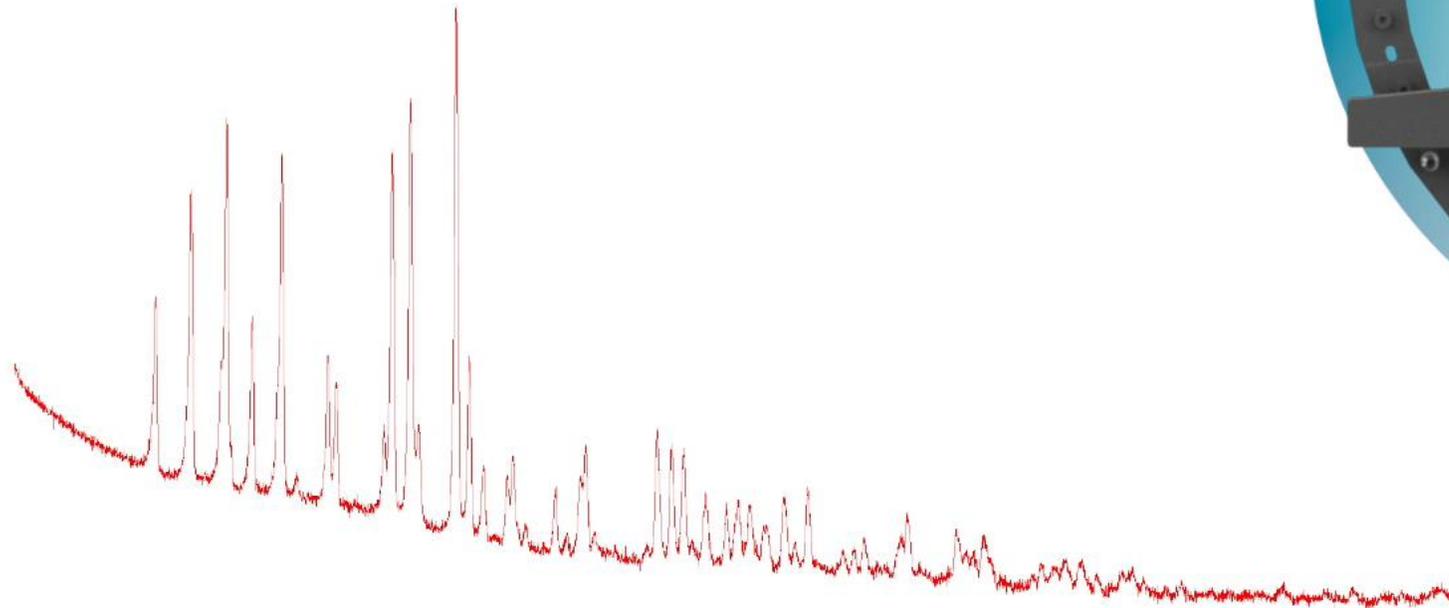
## 2D XRD



# MultiCore Optics

iCore and dCore

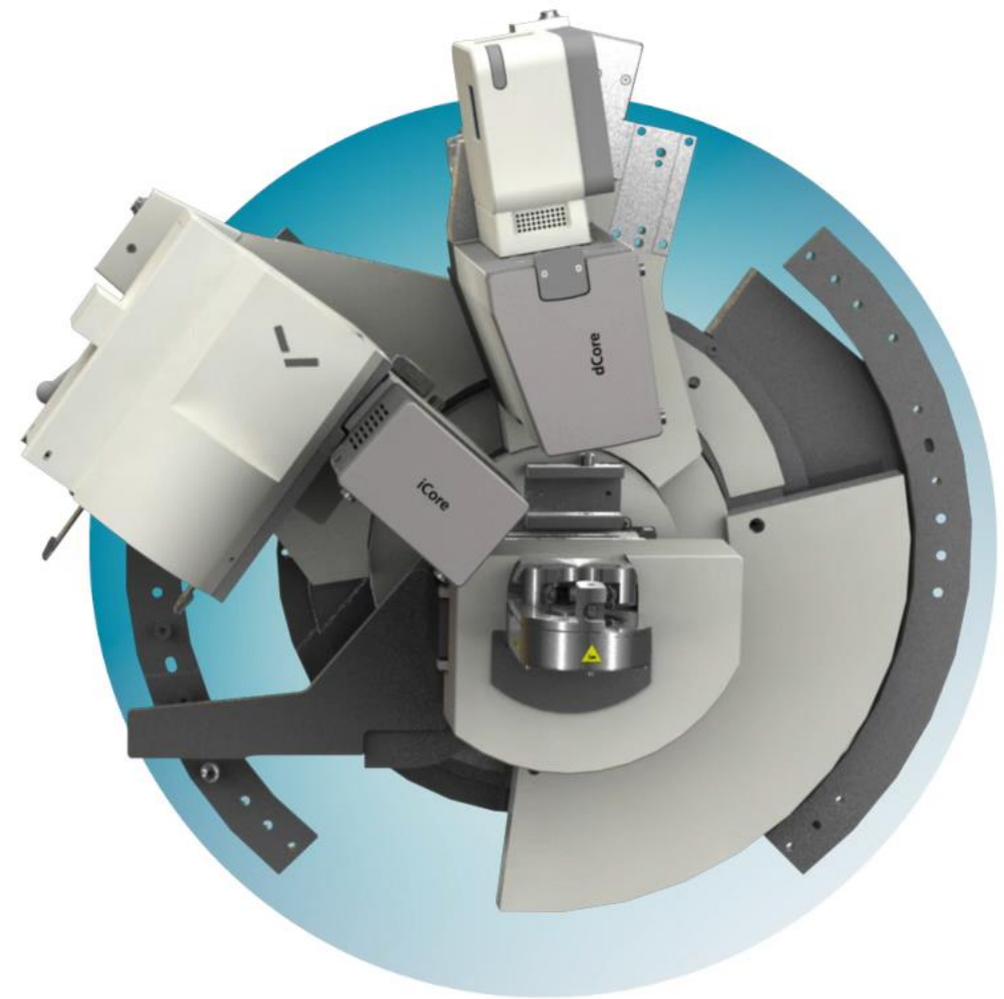
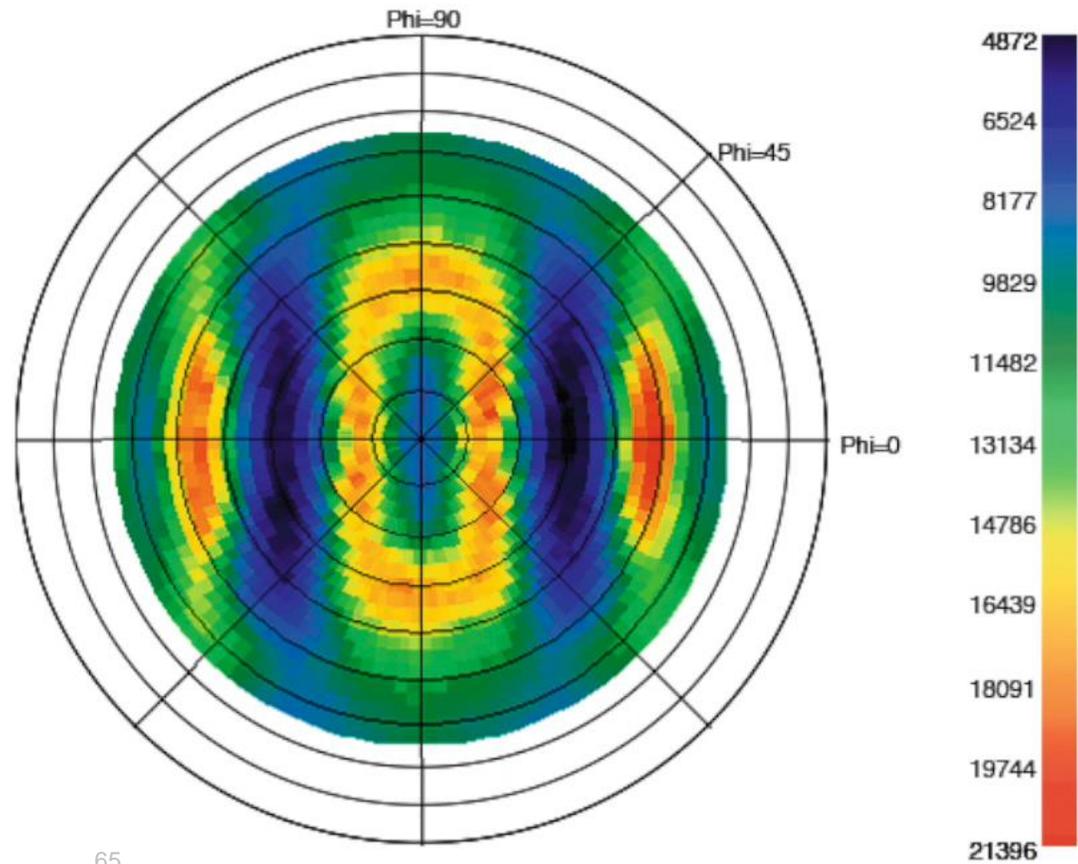
## Transmission XRD



# MultiCore Optics

iCore and dCore

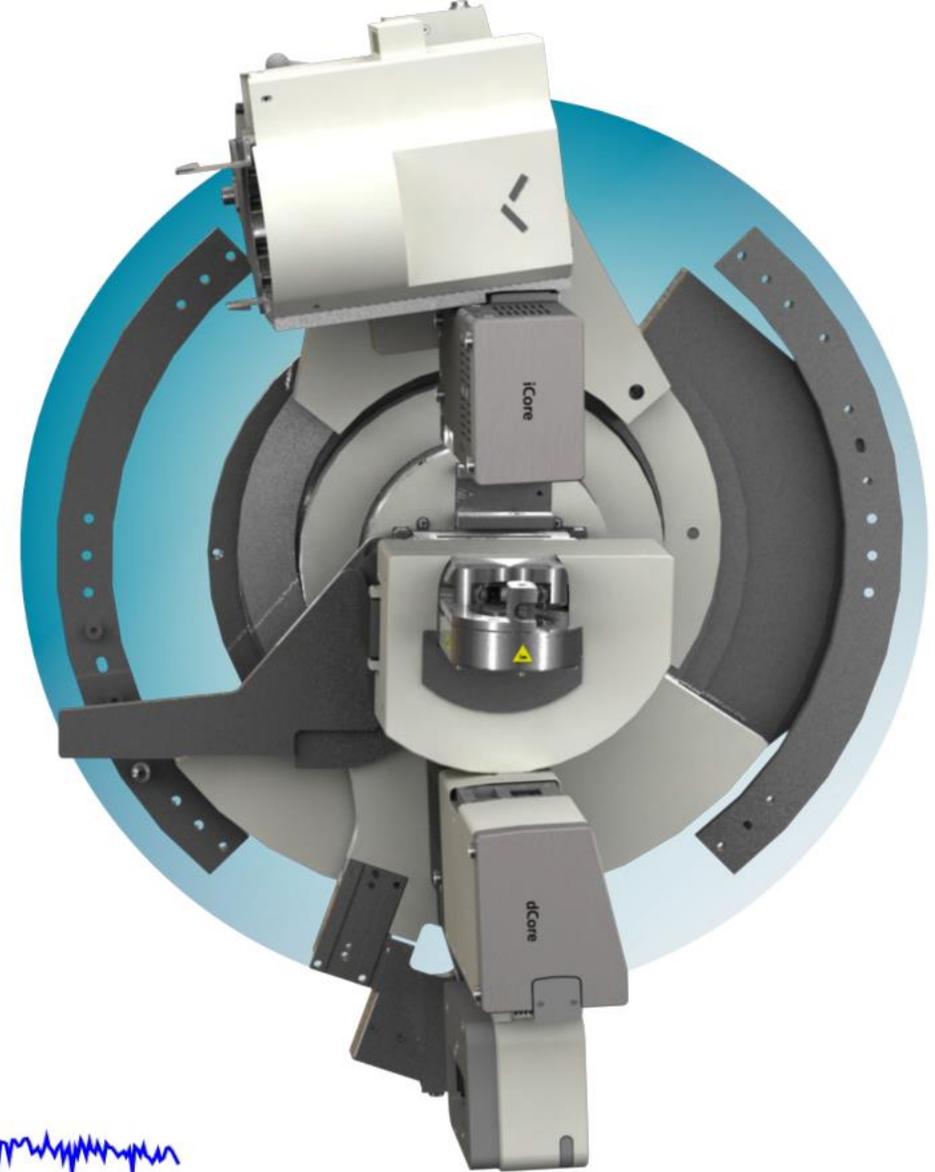
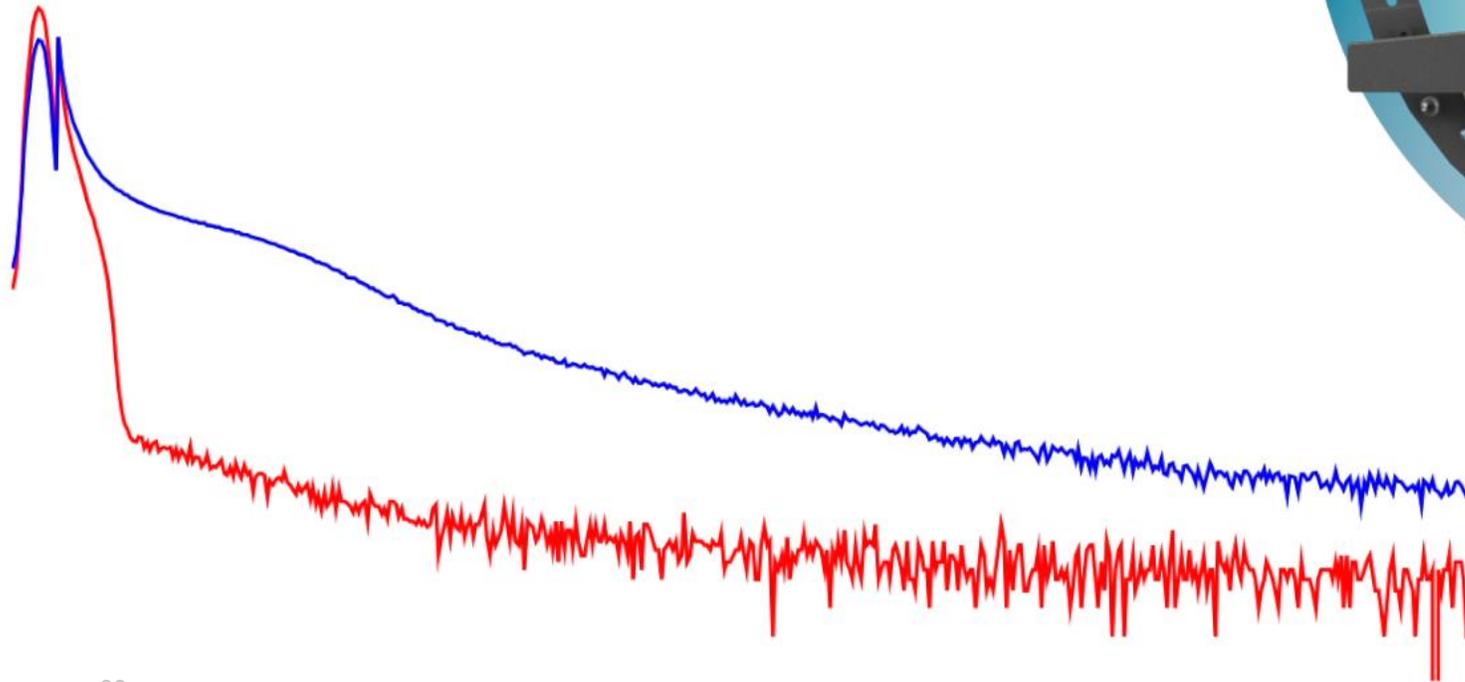
## Pole figures



# MultiCore Optics

iCore and dCore

## Small-angle X-ray scattering



# XRD for metals and hard metals



- Traditionally XRD analysis for this type of materials evolves around the following applications:
  - **Phase analysis** identifying and quantifying the various metallic phases and differentiating their different modifications
  - **Line profile analysis** determining crystallite size and micro-strain inside the allowing information about crystallization during processes and presence of defects inside the crystal structures
  - **Grazing incidence XRD** determining depth dependent variations (phase composition/residual stress)
  - **Residual stress analysis** quantifying the amount and regime (compressive, tensile, shear) of residual stress
  - **Texture analysis** quantifying preferential orientation of crystallites induced by processes to estimate anisotropy
  - **Micro-diffraction** determining lateral variations in phase composition, stress and texture
  - **Non-ambient analysis** determining behavior and phase transitions under non-ambient conditions
  - Potential other applications: **Computed tomography and reflectometry**

The background is a solid teal color with a pattern of diagonal lines in a slightly darker shade of teal. The lines are arranged in a grid-like pattern, with some lines being longer than others, creating a sense of depth and movement. The lines are oriented at approximately a 45-degree angle.

[www.malvernpanalytical.com](http://www.malvernpanalytical.com)